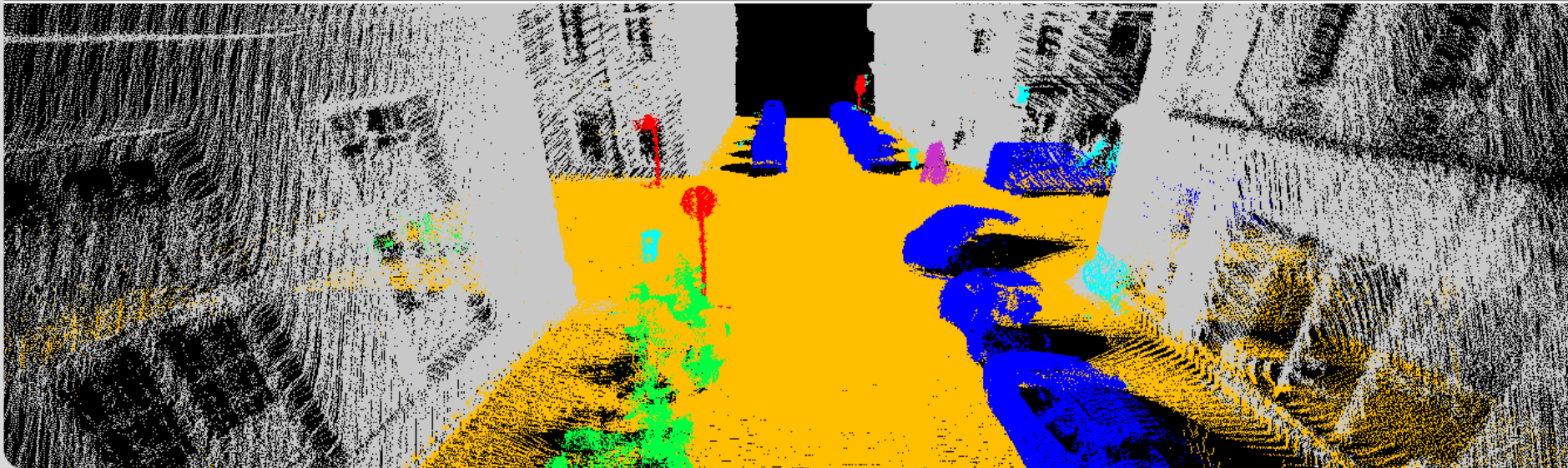


From 3D Point Clouds to Objects

Dr.-Ing. Martin Weinmann

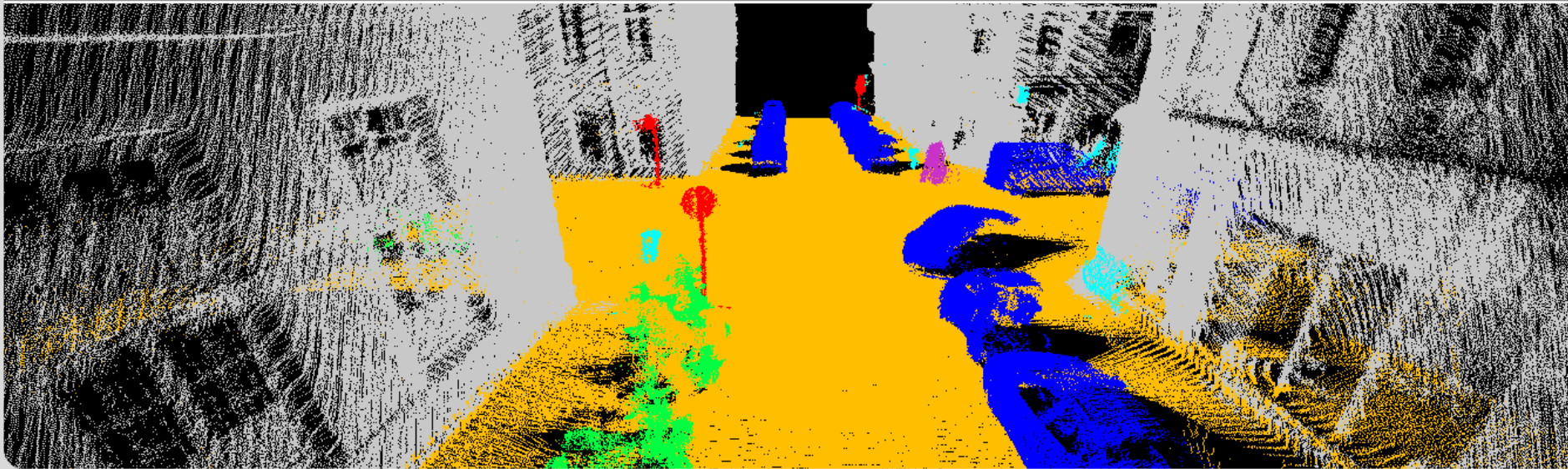
GEOBIA 2016 Doctoral Colloquium - 13 September 2016



From 3D Point Clouds to **Semantic** Objects

Dr.-Ing. Martin Weinmann

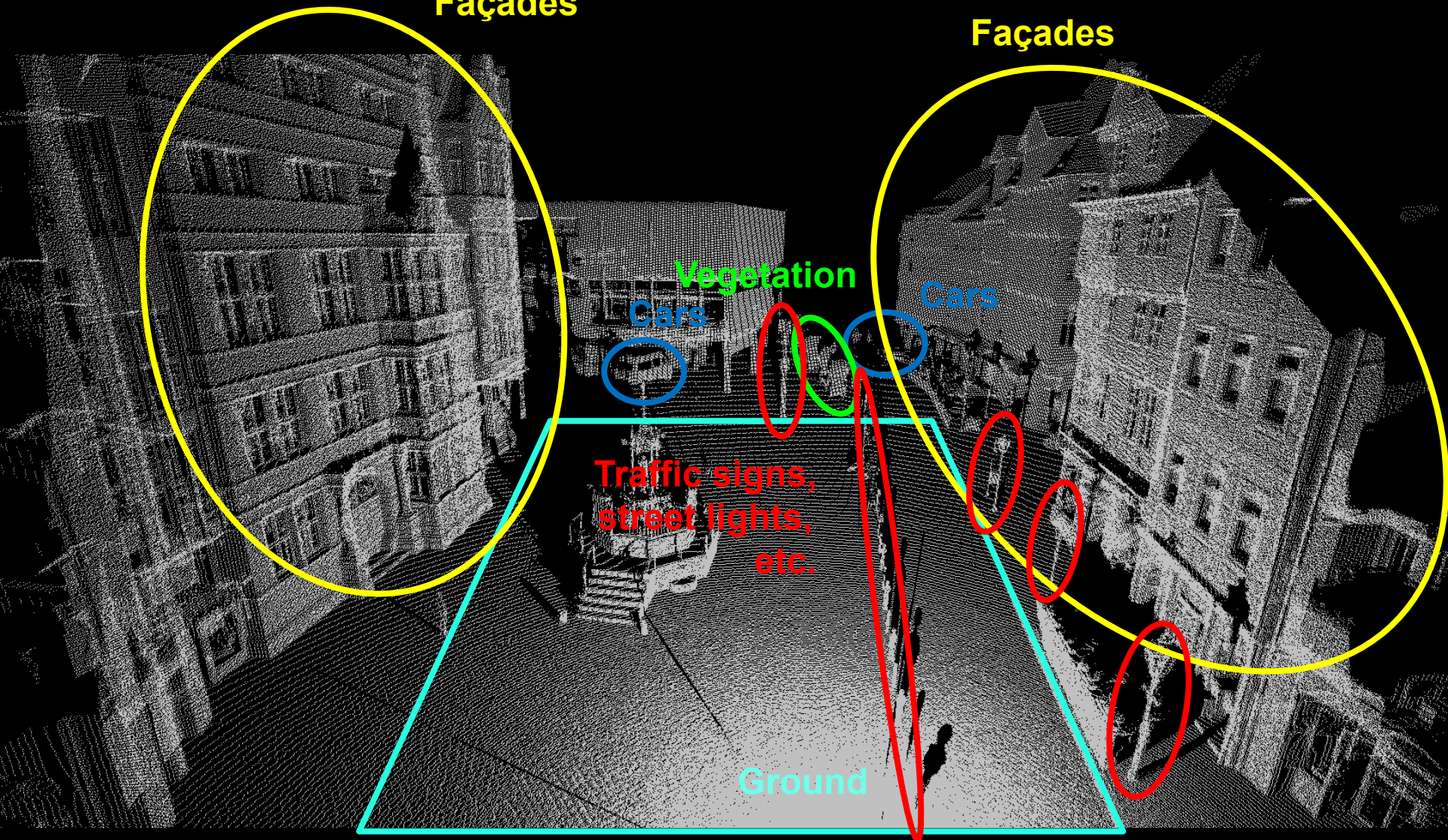
GEOBIA 2016 Doctoral Colloquium - 13 September 2016

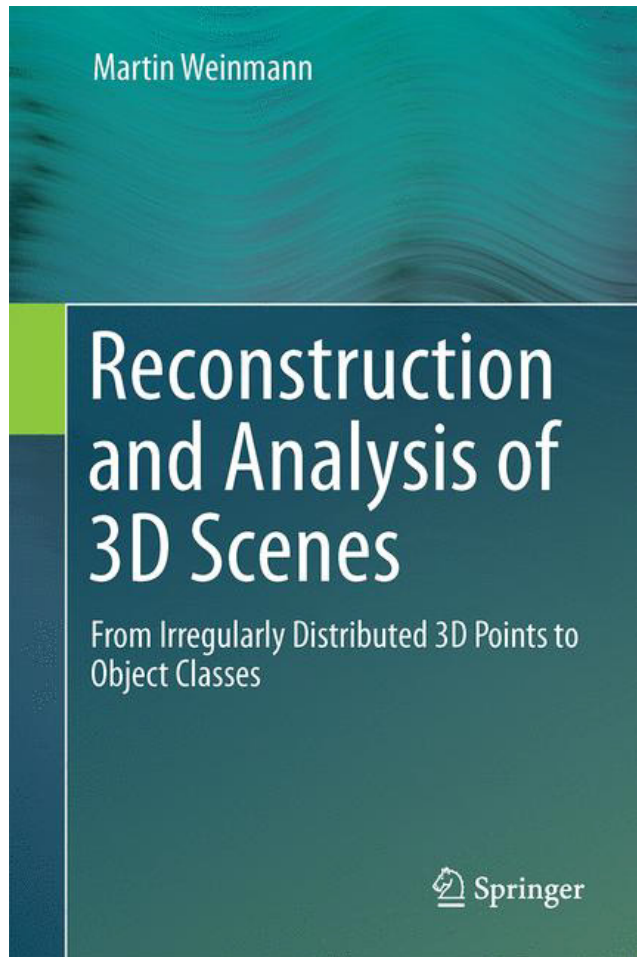


Motivation

Façades

Façades

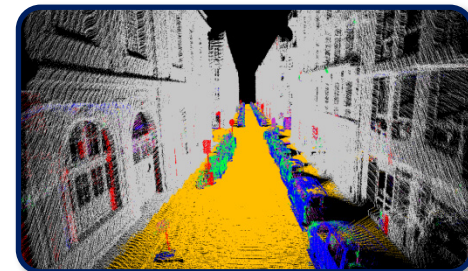
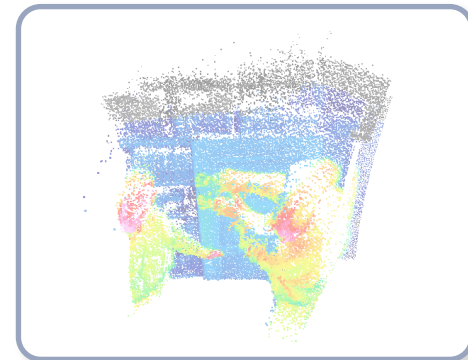




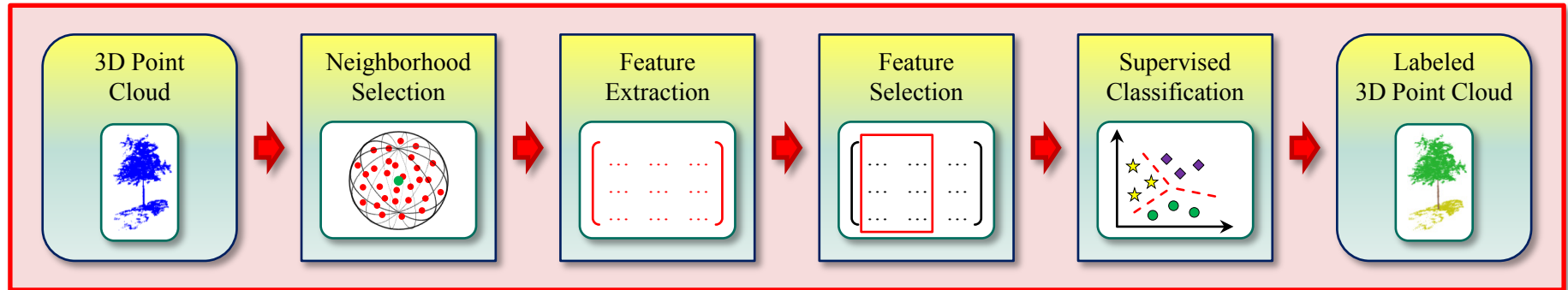
1. Introduction
2. Preliminaries of 3D Point Cloud Processing
3. A Brief Survey on 2D and 3D Feature Extraction
4. Point Cloud Registration
5. Co-Registration of 2D Imagery and 3D Point Cloud Data
6. **3D Scene Analysis**
7. Conclusions and Future Work

Outline

1. Introduction
2. Methodology
3. Experimental Results
4. Conclusions & Future Work

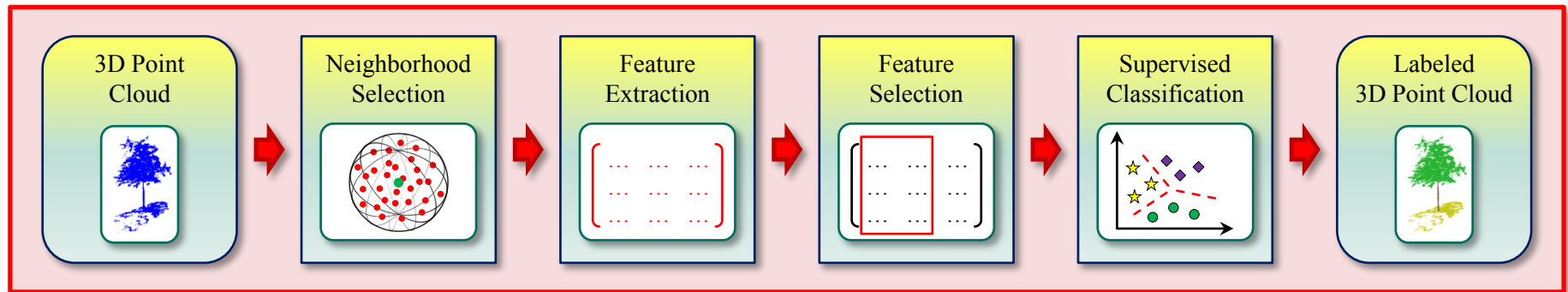


1. Introduction



- Semantic interpretation of 3D point cloud data
 - Unique assignment of a semantic class label to each 3D point (e.g. *ground*, *building* or *vegetation*)
 - General applicability (e.g. for TLS / MLS / ALS or MVS point cloud data)
 - Desired properties
 - Fully automated and efficient approaches
 - Accurate results (without including prior knowledge?)

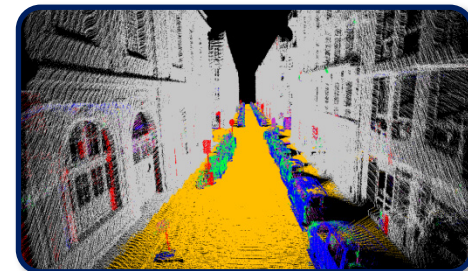
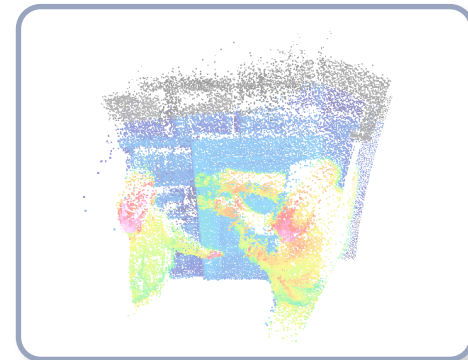
1. Introduction



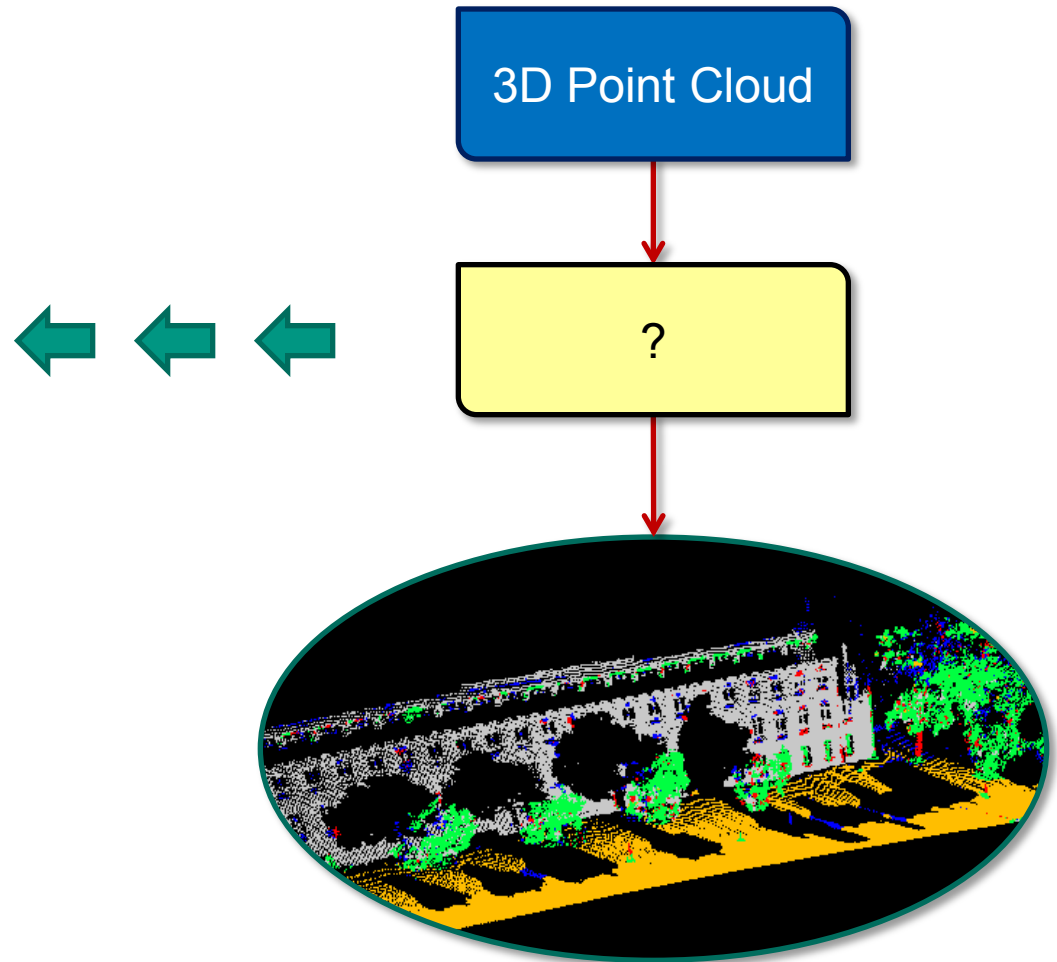
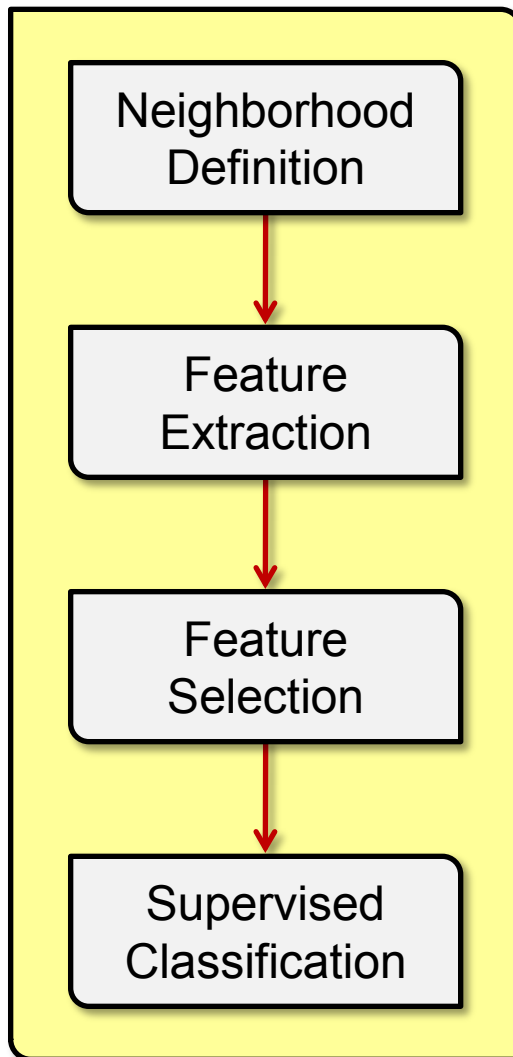
■ Main challenges

- Single-scale representation vs. multi-scale representation
- Complex features vs. interpretable features
- All features vs. relevant features
- Individual classification vs. contextual classification

1. Introduction
2. Methodology
3. Experimental Results
4. Conclusions & Future Work



2. Methodology

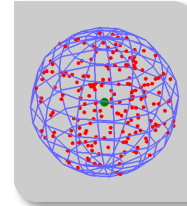


2. Methodology

■ Recovery of local 3D neighborhoods

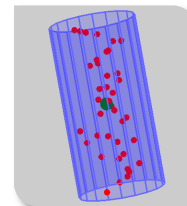
- Spherical neighborhood with fixed radius

→ Which radius ?



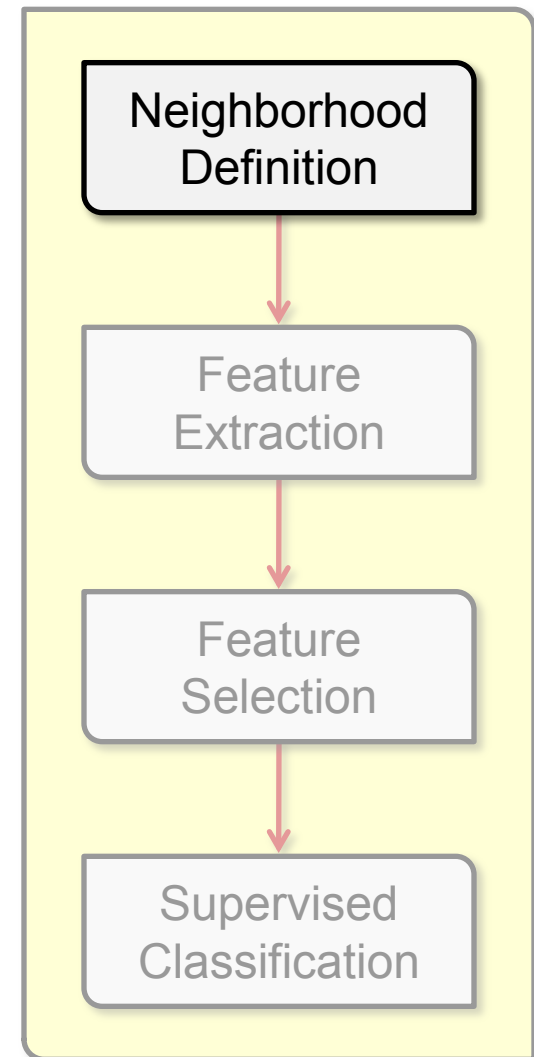
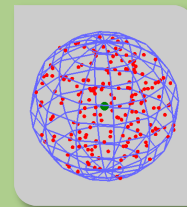
- Cylindrical neighborhood with fixed radius

→ Which radius ?



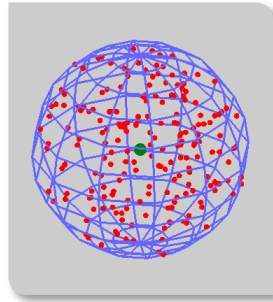
- k closest neighbors in 3D (flexible neighborhood size)

→ Which k ?



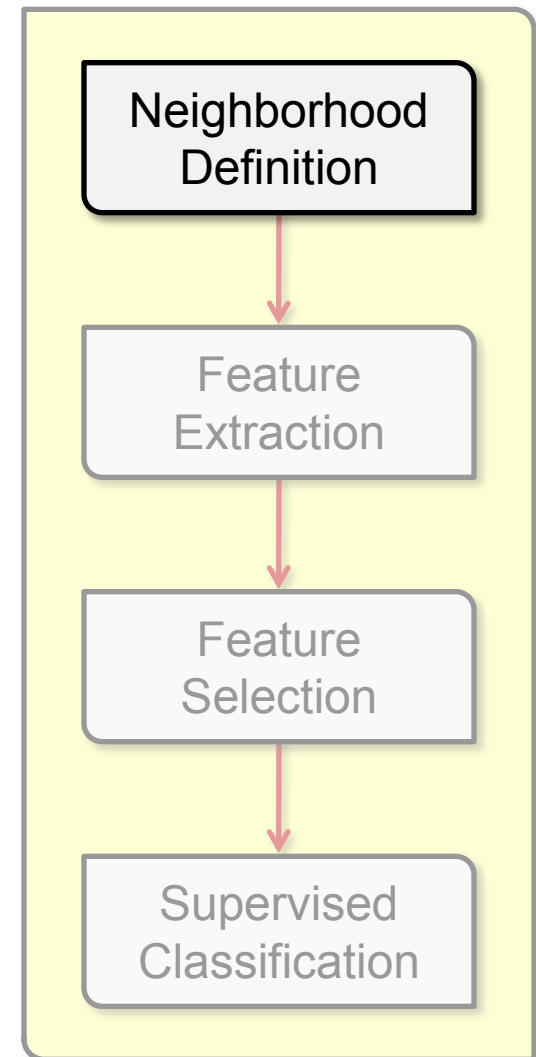
2. Methodology

- Recovery of an optimal value for k
 - Consideration of neighboring 3D points



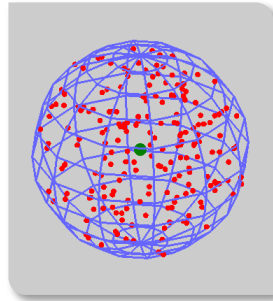
→ 3D structure tensor
= 3D covariance matrix

$$\mathbf{S}_{3D} = \frac{1}{k+1} \sum_{i=0}^k (\mathbf{X}_i - \bar{\mathbf{X}}) (\mathbf{X}_i - \bar{\mathbf{X}})^T$$

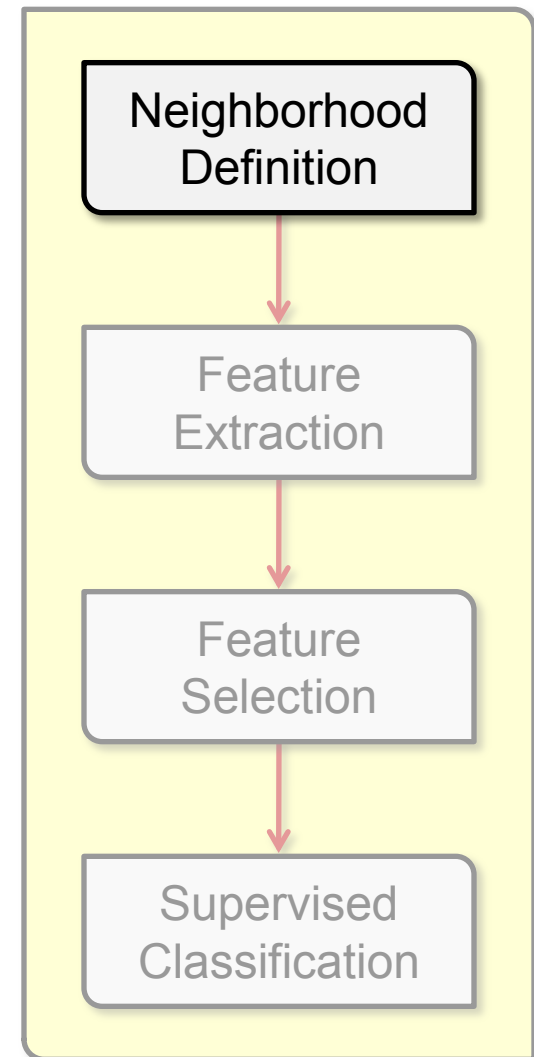
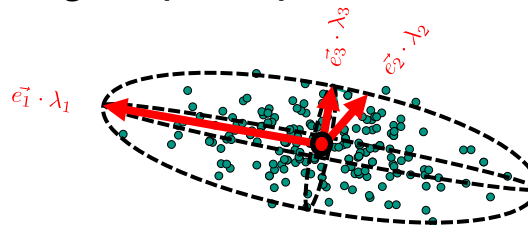


2. Methodology

- Recovery of an optimal value for k
 - Consideration of neighboring 3D points



- 3D structure tensor
= 3D covariance matrix
- Eigenvalues represent the extent of a 3D ellipsoid along its principal axes



2. Methodology

■ Recovery of an optimal value for k

■ *Dimensionality-based scale selection* [Demantké et al., 2011]

→ Idea: favor 1D, 2D or 3D structure and minimize

$$k_{\min} = 10$$

$$k_{\max} = 100$$

$$\Delta k = 1 \quad L_{\lambda} = \frac{\lambda_1 - \lambda_2}{\lambda_1} \quad P_{\lambda} = \frac{\lambda_2 - \lambda_3}{\lambda_1} \quad S_{\lambda} = \frac{\lambda_3}{\lambda_1}$$

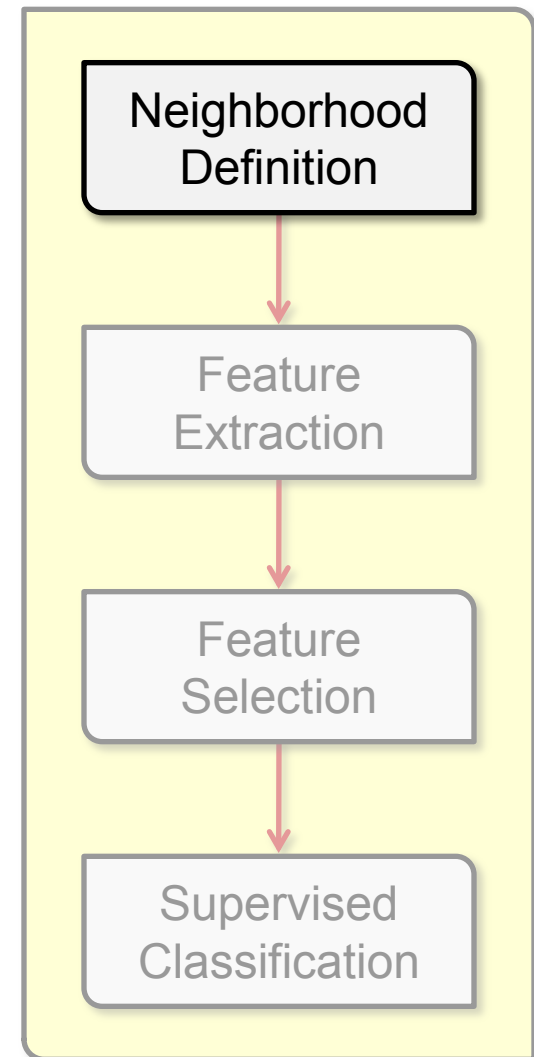
$$E_{\dim} = -L_{\lambda} \ln(L_{\lambda}) - P_{\lambda} \ln(P_{\lambda}) - S_{\lambda} \ln(S_{\lambda})$$

■ *Eigenentropy-based scale selection* [Weinmann et al., PCV 2014]

$k_{\min} = 10$ → Idea: favor the minimal disorder of 3D points and minimize

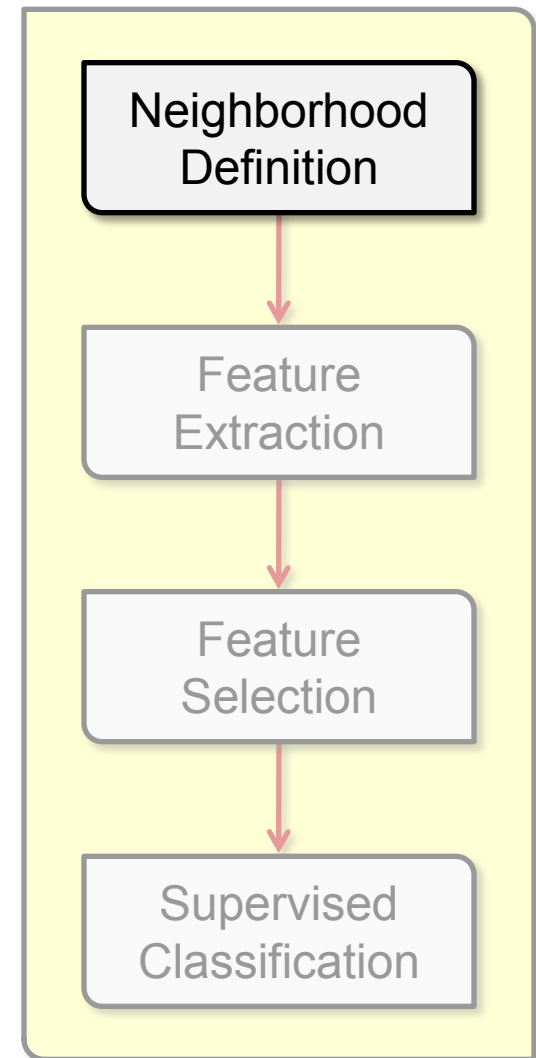
$$k_{\max} = 100$$

$$\Delta k = 1 \quad E_{\lambda} = -\lambda_1 \ln(\lambda_1) - \lambda_2 \ln(\lambda_2) - \lambda_3 \ln(\lambda_3)$$

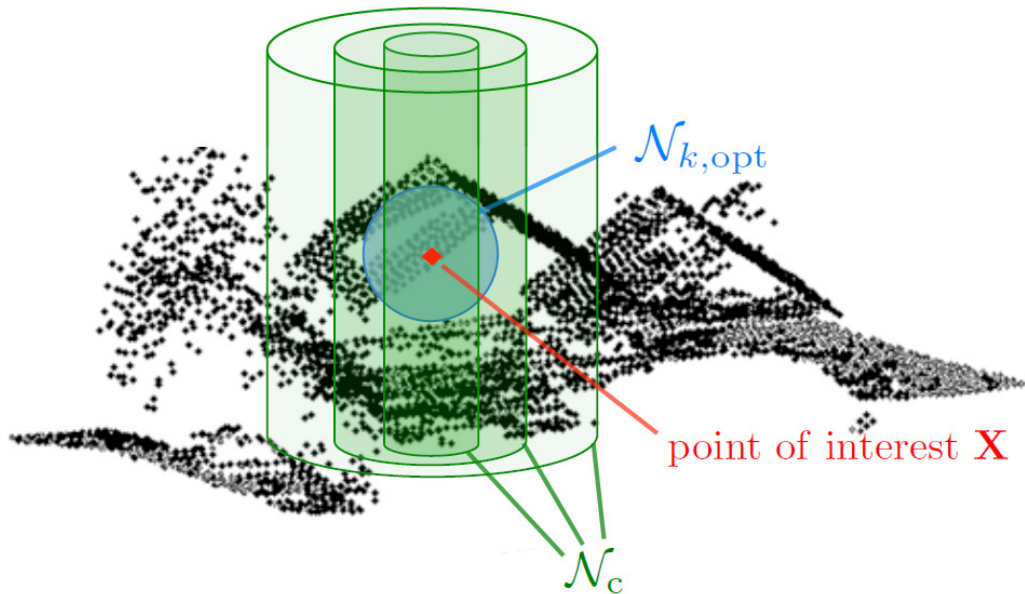


2. Methodology

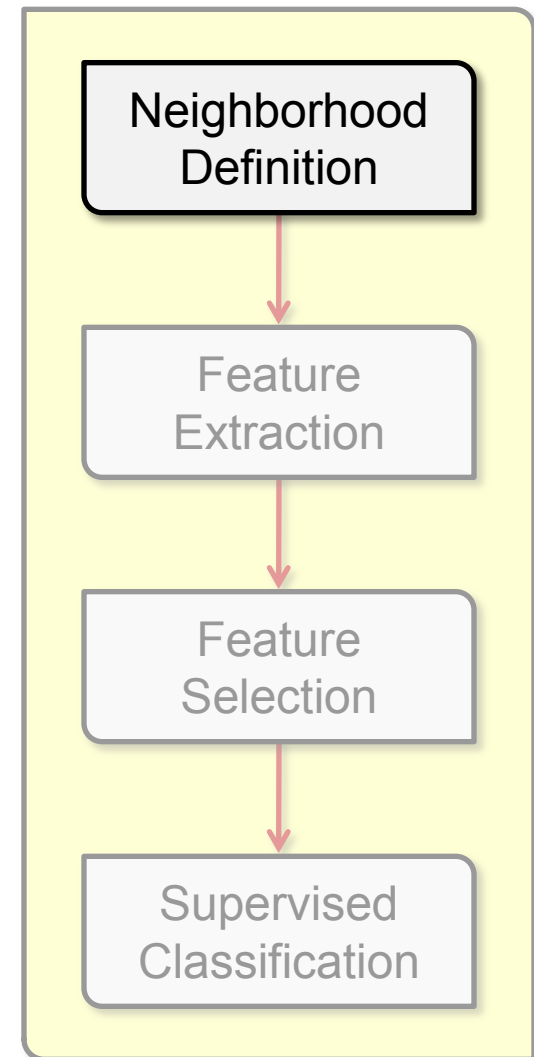
- Recovery of local 3D neighborhoods
 - Multi-scale neighborhood
e.g. [Niemeyer et al., IJPRS 2014]
 - Consider local 3D structure at different scales
 - Describe geometric behavior across different scales
 - Multi-scale, multi-type neighborhood
e.g. [Blomley et al., ISPRS Congress 2016]
 - Consider local 3D structure at different scales
 - Describe geometric behavior across different scales and different neighborhood types



2. Methodology



- Multi-scale, multi-type neighborhood
e.g. [Blomley et al., ISPRS Congress 2016]
 - Consider local 3D structure at different scales
 - Describe geometric behavior across different scales and different neighborhood types



2. Methodology

■ Extraction of 3D features (8)

■ Eigenvalue-based features $\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq 0$

→ Linearity: $L_\lambda = \frac{\lambda_1 - \lambda_2}{\lambda_1}$

→ Planarity: $P_\lambda = \frac{\lambda_2 - \lambda_3}{\lambda_1}$

→ Scattering: $S_\lambda = \frac{\lambda_3}{\lambda_1}$

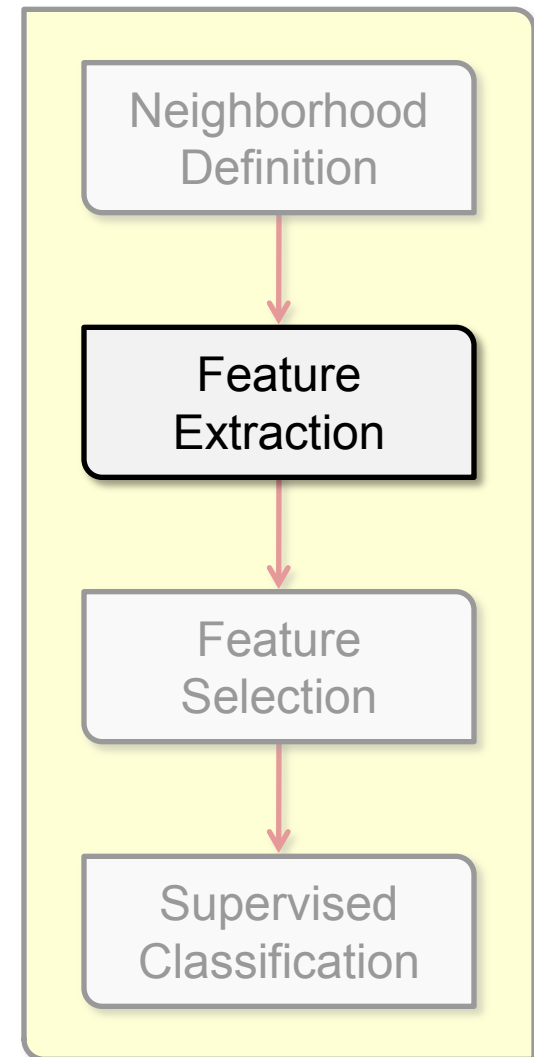
→ Omnivariance: $O_\lambda = \sqrt[3]{\lambda_1 \lambda_2 \lambda_3}$

→ Anisotropy: $A_\lambda = \frac{\lambda_1 - \lambda_3}{\lambda_1}$

→ Eigenentropy: $E_\lambda = - \sum_{i=1}^3 \lambda_i \ln(\lambda_i)$

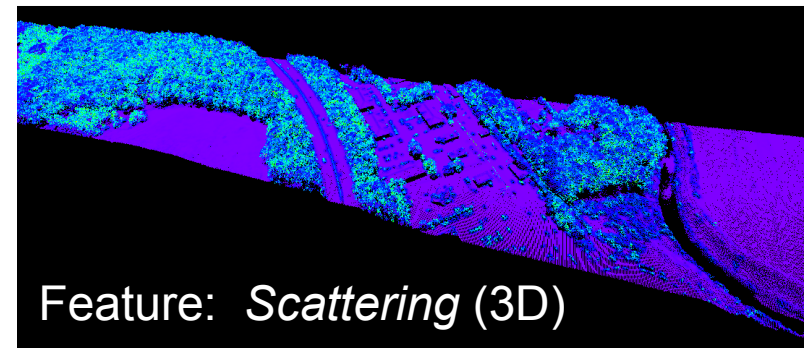
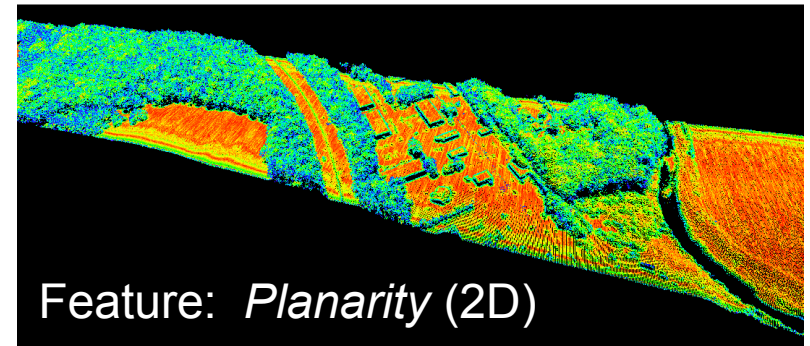
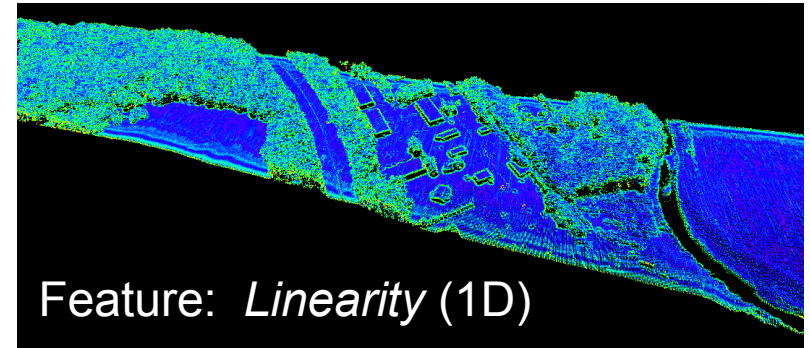
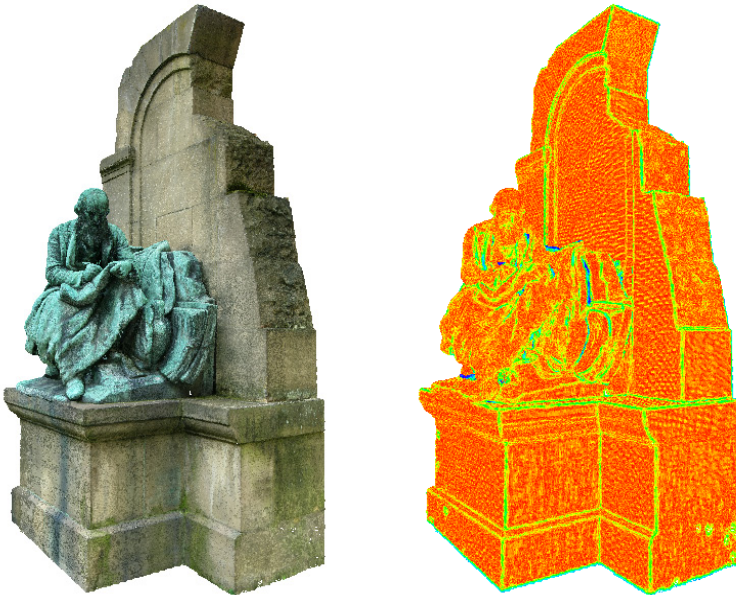
→ Sum of eigenvalues: $\Sigma_\lambda = \lambda_1 + \lambda_2 + \lambda_3$

→ Change of curvature: $C_\lambda = \frac{\lambda_3}{\lambda_1 + \lambda_2 + \lambda_3}$

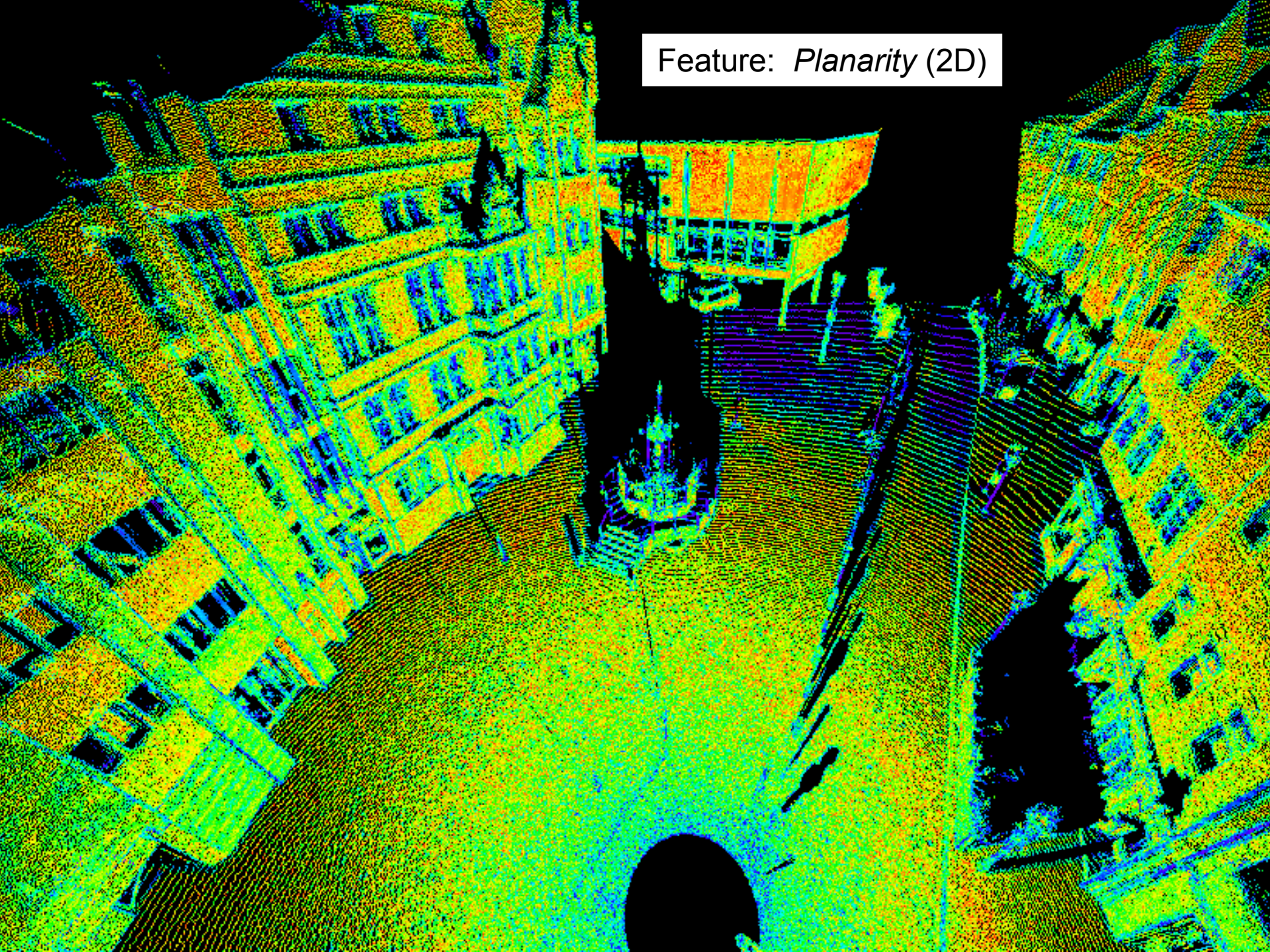


2. Methodology

- Extraction of 3D features (8)
 - Dimensionality features



Feature: *Planarity* (2D)



2. Methodology

■ Extraction of 3D features (8 + 6)

■ Geometric 3D properties

→ Radius of k -NN:

$$r_{k\text{-NN},3D}$$

→ Local point density:

$$D_{3D} = \frac{k + 1}{\frac{4}{3} \pi r_{k\text{-NN},3D}^3}$$

→ Verticality:

$$V = 1 - n_Z$$

→ Absolute height:

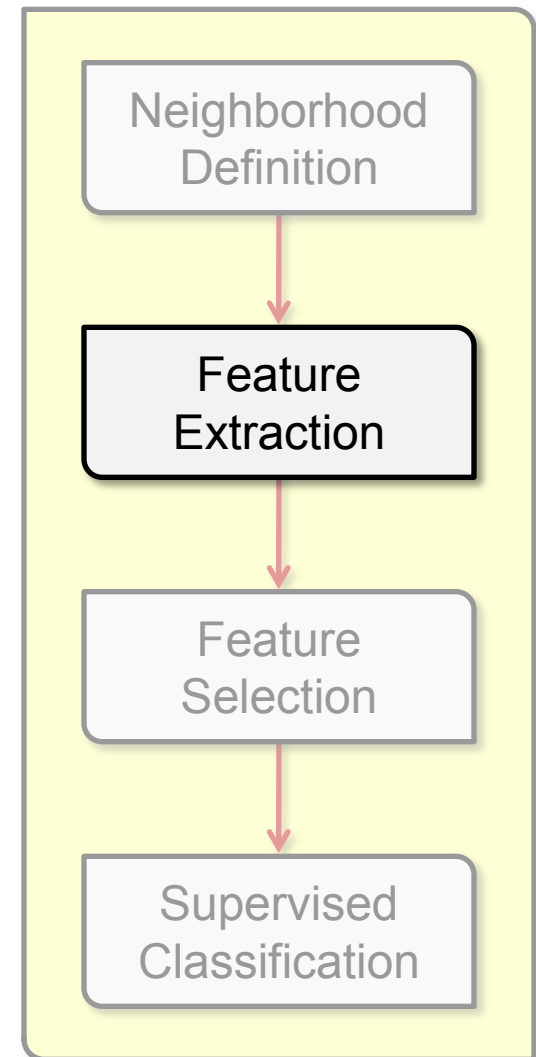
$$H$$

→ Height difference:

$$\Delta H_{k\text{-NN},3D}$$

→ Std.dev. of height values:

$$\sigma_{H,k\text{-NN},3D}$$



2. Methodology

■ Extraction of 2D features (2 + 2 + 3)

■ Geometric 2D properties

→ Radius of k -NN: $r_{k\text{-NN},2D}$

→ Local point density: D_{2D}

■ Eigenvalue-based features

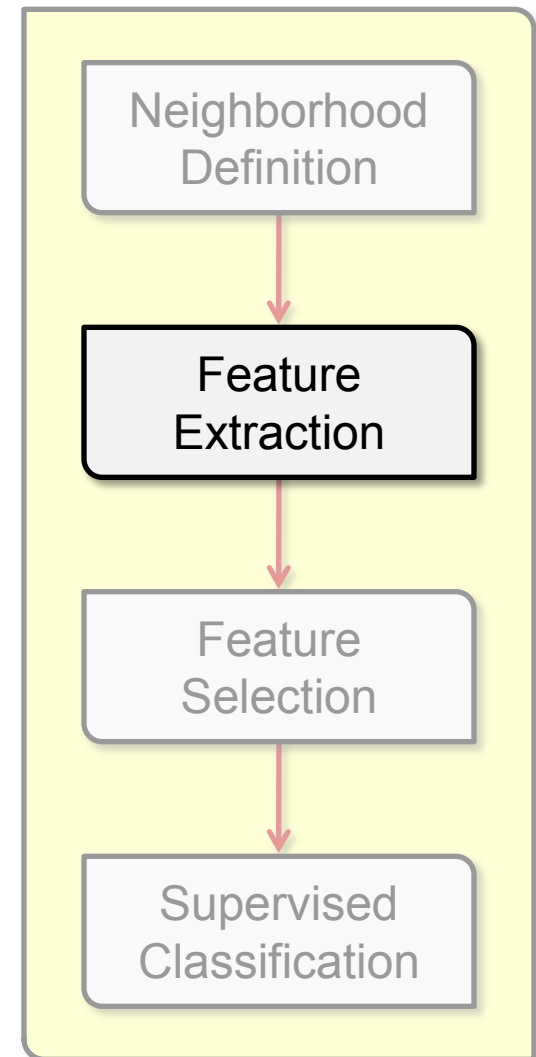
→ Sum of eigenvalues: $\Sigma_{\lambda,2D}$

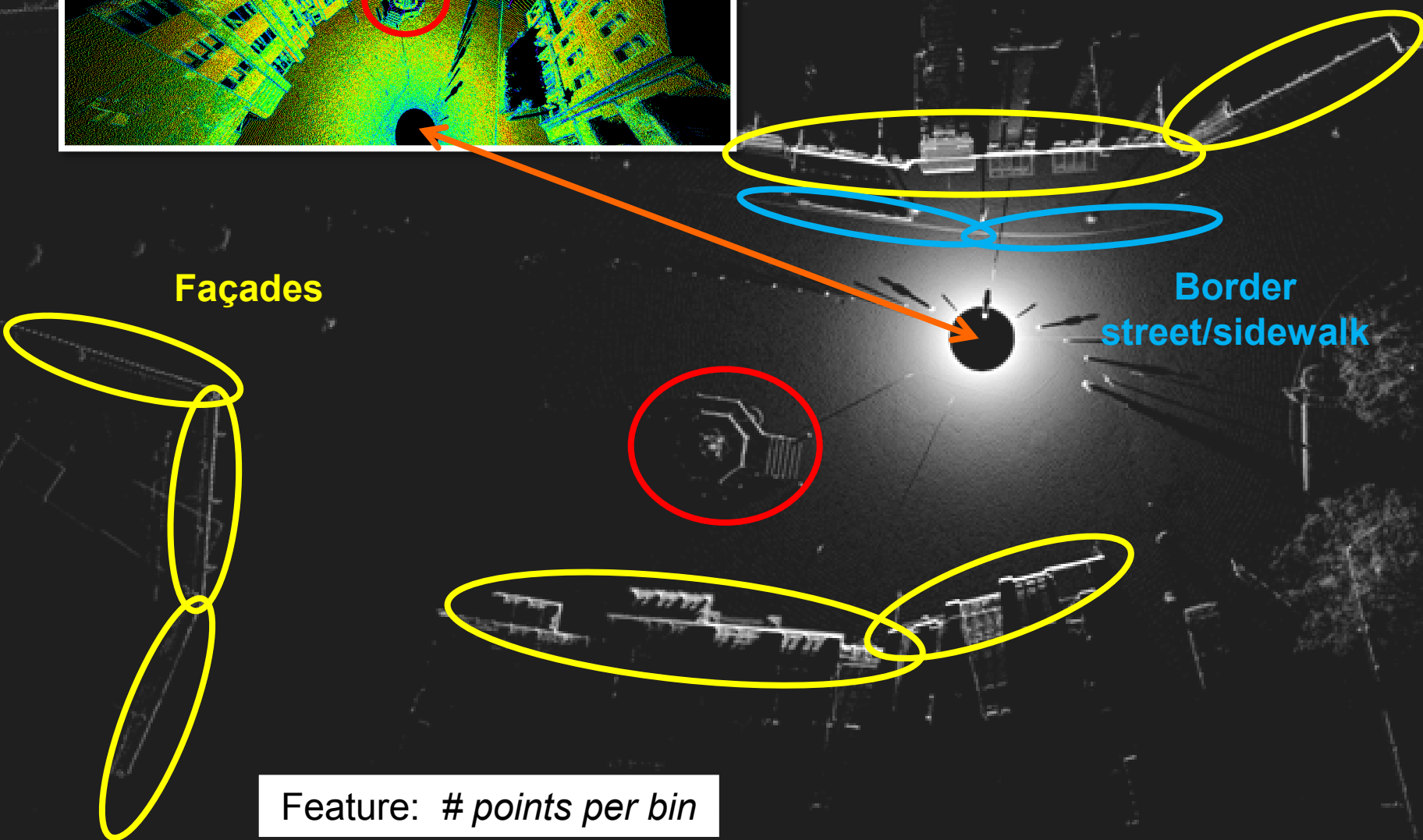
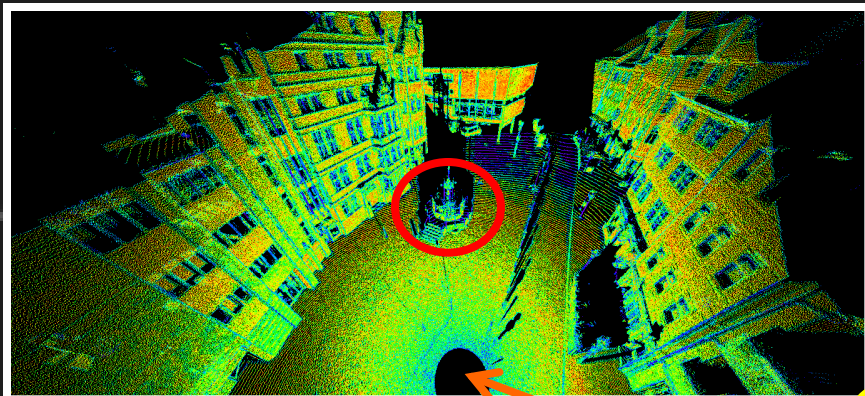
→ Ratio of eigenvalues: $R_{\lambda,2D} = \frac{\lambda_{2,2D}}{\lambda_{1,2D}}$

■ Discretization (e.g. bins of 0.25m x 0.25m)

→ # points per bin: M

→ Properties of a bin: $\Delta H \quad \sigma_H$





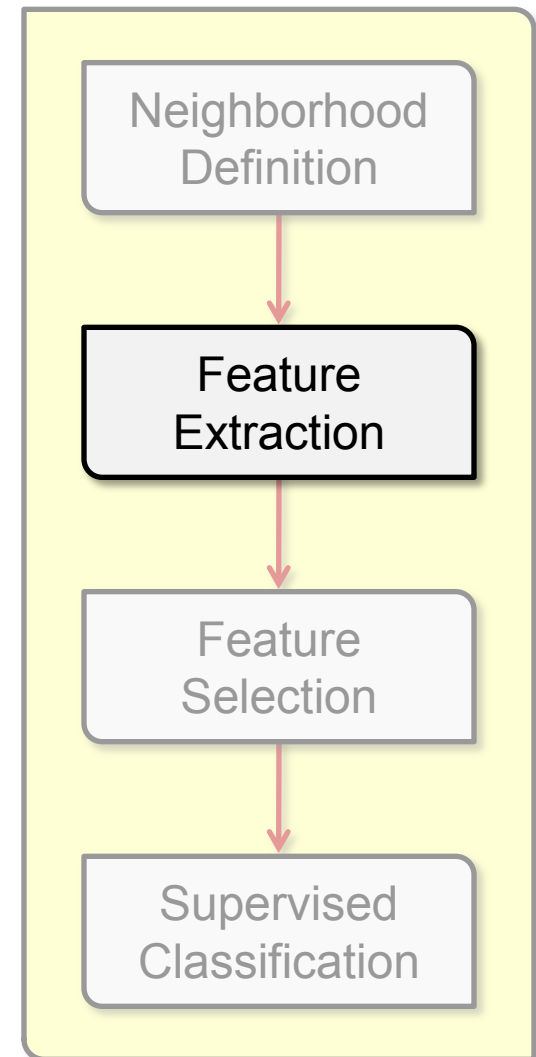
Façades

Border
street/sidewalk

Feature: # points per bin

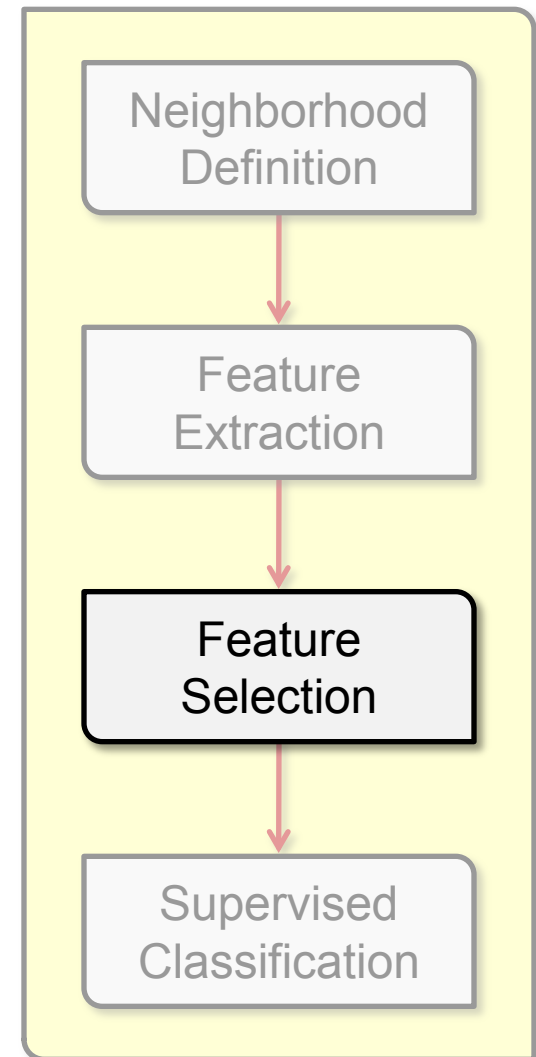
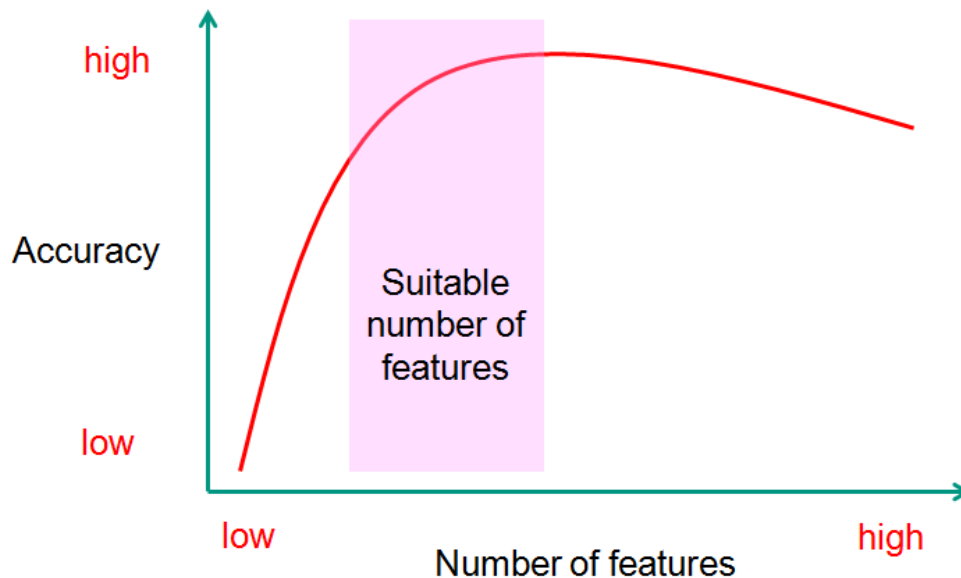
2. Methodology

- Extraction of more complex features
 - 3D Shape Context descriptor
[Frome et al., ECCV 2004]
 - Signature of Histograms of Orientations (SHOT) descriptor
[Tombari et al., ECCV 2010]
 - Point Feature Histograms (PFHs)
[Rusu et al., ICRA 2009]
 - Shape Distributions
[Osada et al., 2002]



2. Methodology

- Selection of “suitable” features
 - Relevant, irrelevant and redundant features
 - Hughes phenomenon [Hughes, 1968]



2. Methodology

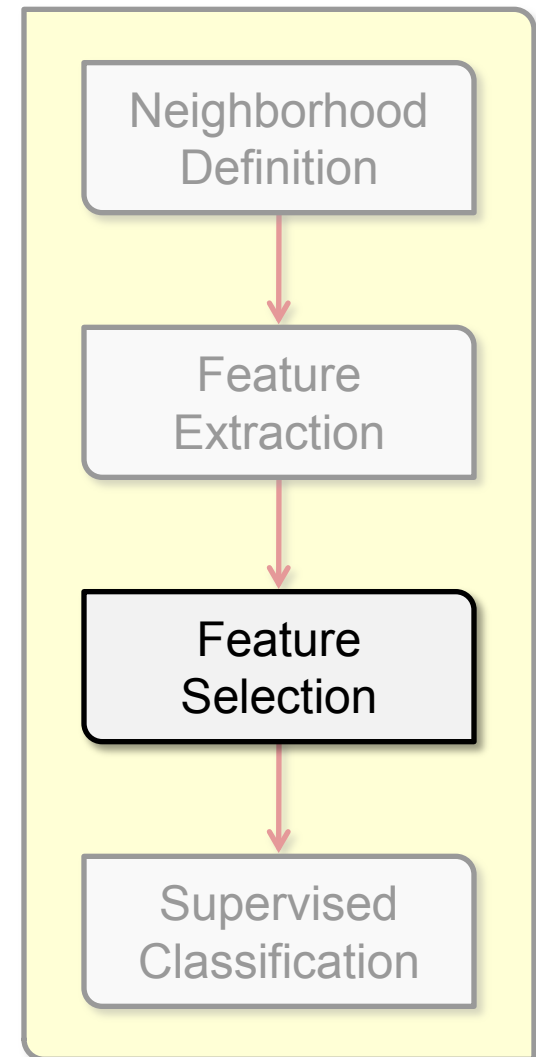
■ Selection of “suitable” features

■ Filter-based methods

- Classifier-independent
- Simple and efficient
- Consideration of intrinsic properties of the given (training) data
- Different criteria

■ Alternative strategies:

- Wrapper-based methods
- Embedded methods



2. Methodology

■ Filter-based methods

■ Univariate methods

→ “Evaluate” feature–class relations

- *Correlation coefficient*

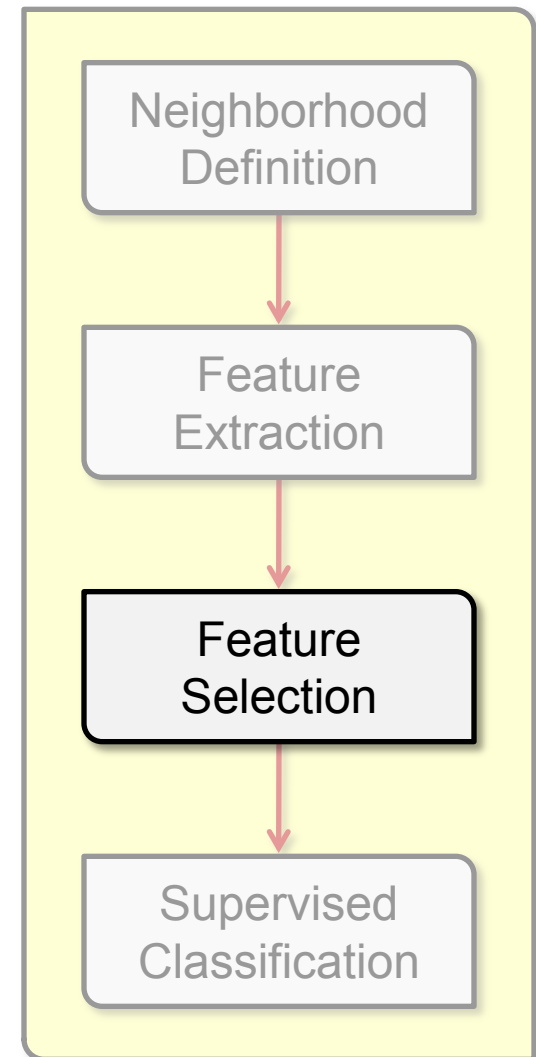
$$c_{\text{Pearson}}(\mathbf{x}_i) = \frac{\text{Cov}(\mathbf{x}_i, \mathbf{l})}{\sigma(\mathbf{x}_i) \cdot \sigma(\mathbf{l})}$$

■ Multivariate methods

→ “Evaluate” feature–class relations
and feature–feature relations

- *Correlation-based Feature Selection* [Hall, 1999]

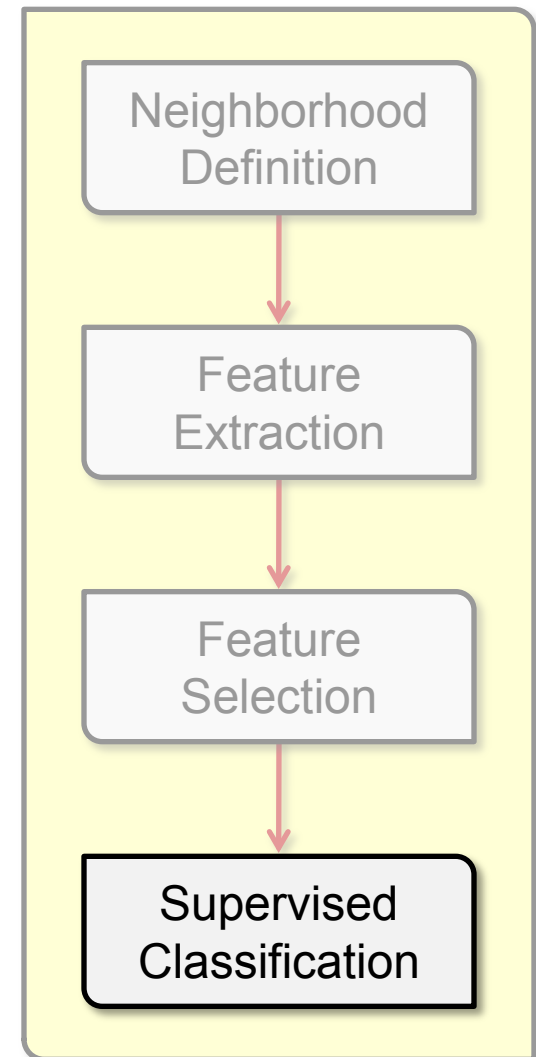
$$R(X_{1\dots n}, C) = \frac{n\bar{\rho}_{XC}}{\sqrt{n + n(n-1)\bar{\rho}_{XX}}}$$



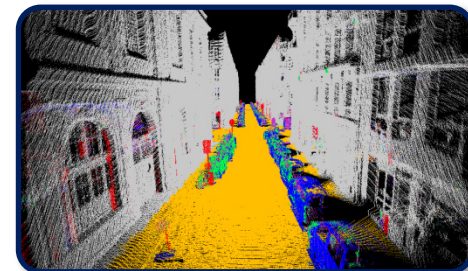
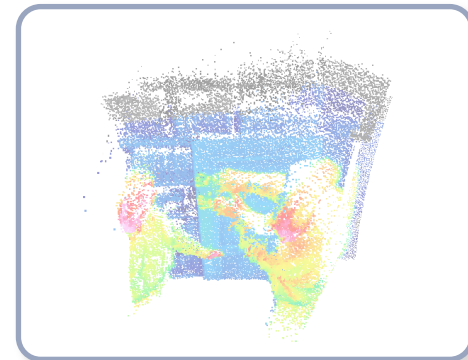
2. Methodology

■ Individual classification

Learning principle	Involved classifiers
<i>Instance-based learning</i>	- <i>Nearest Neighbor (NN) classifier</i>
<i>Rule-based learning</i>	- <i>Decision Tree (DT)</i>
<i>Probabilistic learning</i>	- <i>Naïve Bayesian (NB) classifier</i> - <i>Linear Discriminant Analysis (LDA)</i> - <i>Quadratic Discriminant Analysis (QDA)</i>
<i>Max-margin learning</i>	- <i>Support Vector Machine (SVM)</i>
<i>Ensemble learning</i>	- <i>Random Forest (RF)</i> - <i>Random Fern (RFe)</i> - <i>AdaBoost (AB)</i>
<i>Deep learning</i>	- <i>Multi-Layer Perceptron (MLP)</i>



1. Introduction
2. Methodology
3. Experimental Results
4. Conclusions & Future Work



3. Experimental Results

- *Oakland 3D Point Cloud Dataset* [Munoz et al., 2008, 2009]
 - 5 semantic classes: *Wire, Pole/Trunk, Façade, Ground, Vegetation*



	Training	Validation	Test
# 3D points	35,000	91,515	1,324,310
Min. class size	1,000	899	3,794
Max. class size	11,000	67,419	934,146

Reduction of training data

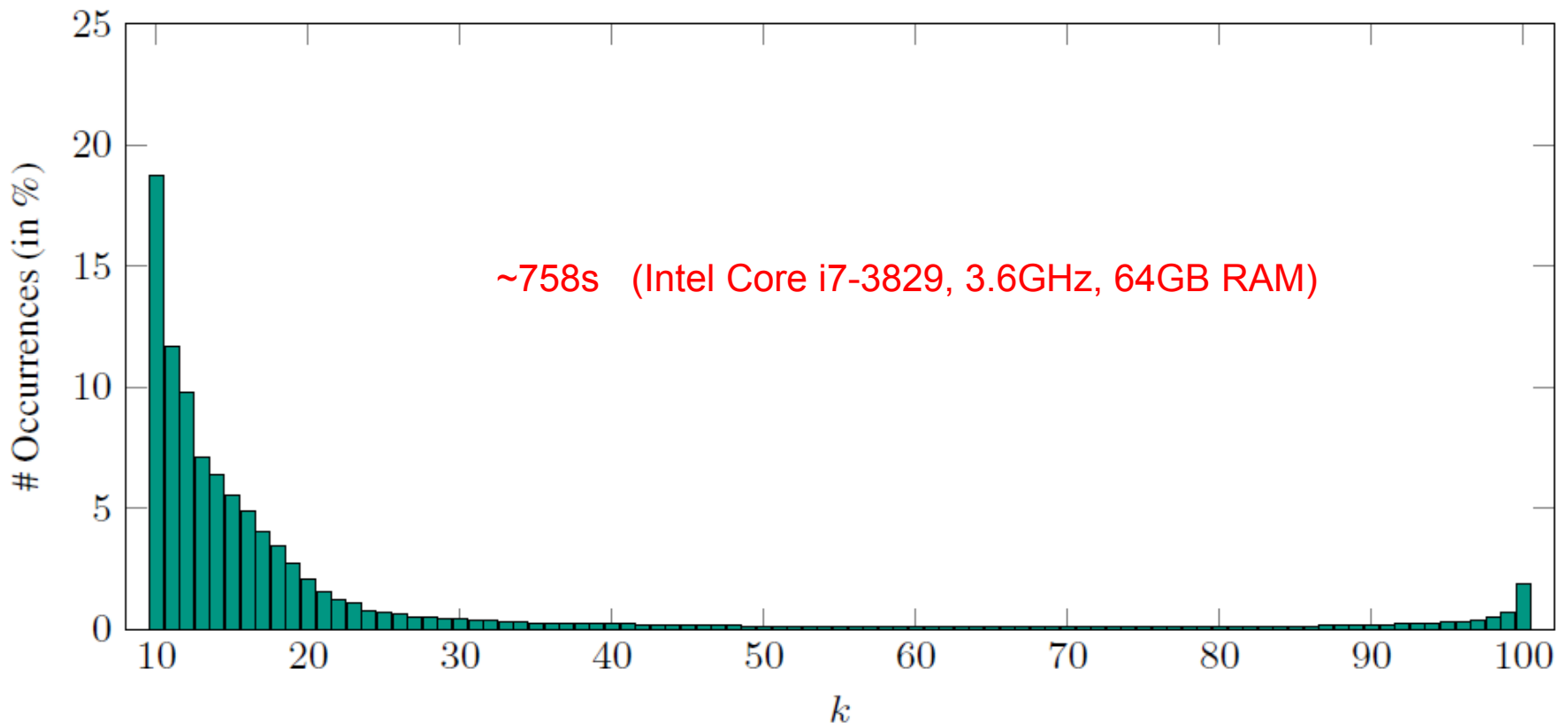
3. Experimental Results

- A. Insights w.r.t. the selection of optimal neighborhoods
- B. Impact of optimal neighborhood size selection
- C. Impact of the selection of relevant features
- D. Extension towards data-intensive processing

3. Experimental Results (A)

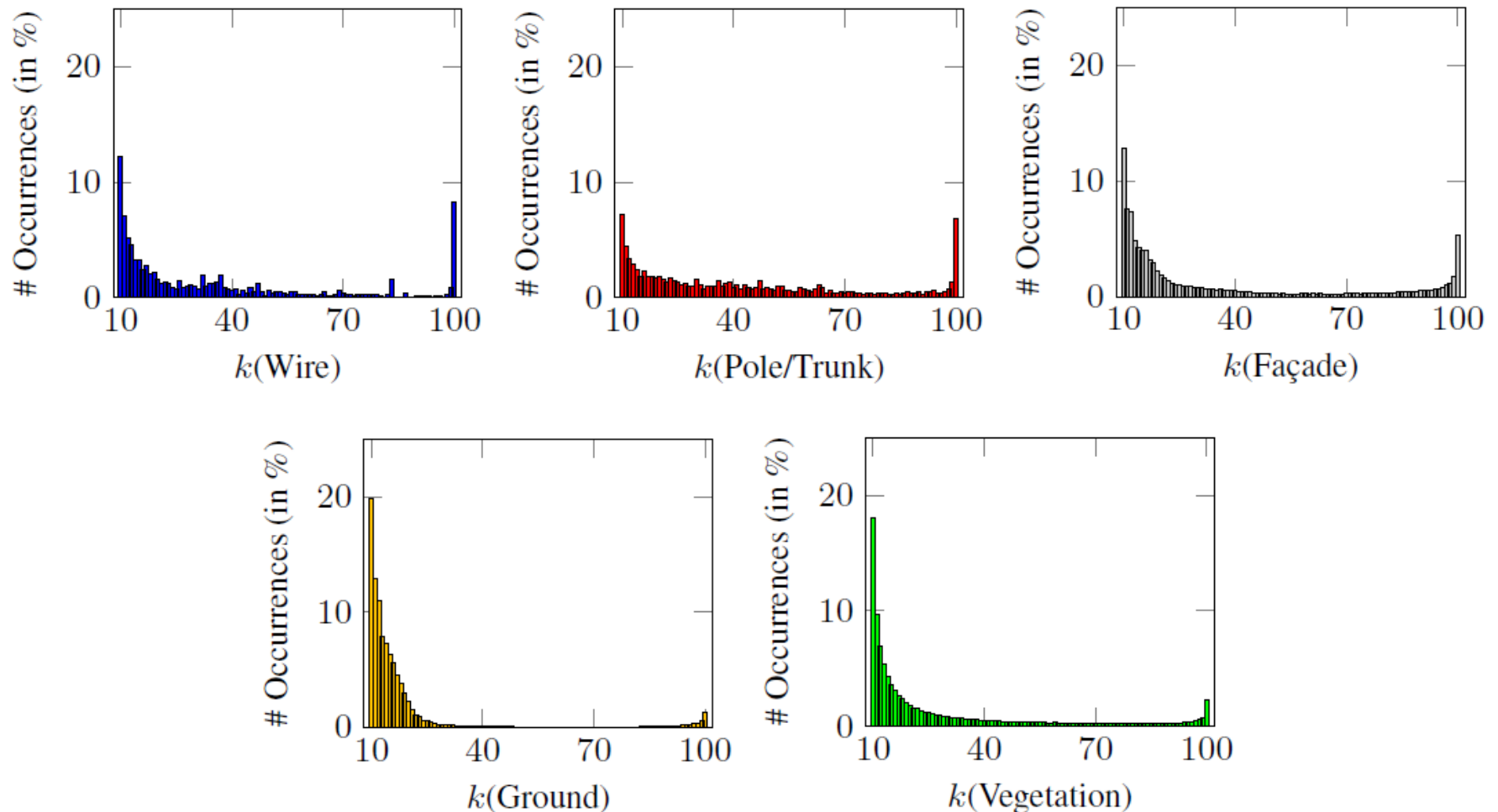
■ *Oakland 3D Point Cloud Dataset*

- Distribution of k across all 3D points of the dataset:



3. Experimental Results (A)

■ Class-specific distribution of k :



3. Experimental Results

- A. Insights w.r.t. the selection of optimal neighborhoods
- B. Impact of optimal neighborhood size selection**
- C. Impact of the selection of relevant features
- D. Extension towards data-intensive processing

M. Weinmann, B. Jutzi, S. Hinz, and C. Mallet (2015): Semantic point cloud interpretation based on optimal neighborhoods, relevant features and efficient classifiers. ISPRS Journal of Photogrammetry and Remote Sensing, Vol. 105, pp. 286-304.

M. Weinmann, B. Jutzi, and C. Mallet (2014): Semantic 3D scene interpretation: a framework combining optimal neighborhood size selection with relevant features. ISPRS Annals of the Photogrammetry, Remote Sensing and Spatial Information Sciences, Vol. II-3, pp. 181-188.

3. Experimental Results (B)

- *Oakland 3D Point Cloud Dataset*, all 21 low-level features:
 - Feature extraction based on different neighborhood definitions
 - Classification: *Random Forest* (100 decision trees)

Mean class recall:	\mathcal{N}	Wire	Pole/Trunk	Façade	Ground	Vegetation	
70.78	\mathcal{N}_{10}	70.46	68.49	50.29	98.23	66.45	
74.65	\mathcal{N}_{25}	69.48	69.59	60.98	98.91	74.29	
72.72	\mathcal{N}_{50}	56.86	62.64	68.13	98.84	77.10	
69.99	\mathcal{N}_{75}	49.71	58.63	67.51	98.81	75.31	
68.51	\mathcal{N}_{100}	49.67	58.27	62.69	98.71	73.20	
81.70	$\mathcal{N}_{\text{opt,dim}}$	85.16	78.90	65.90	98.52	79.99	
82.59	$\mathcal{N}_{\text{opt},\lambda}$	86.05	79.99	67.01	98.48	81.41	<i>Recall (Completeness)</i>
Overall accuracy:	\mathcal{N}	Wire	Pole/Trunk	Façade	Ground	Vegetation	
87.53	\mathcal{N}_{10}	5.51	7.99	77.62	96.82	94.79	
90.50	\mathcal{N}_{25}	7.12	9.46	83.88	98.58	94.87	
91.54	\mathcal{N}_{50}	4.81	19.47	83.43	97.77	94.40	
91.06	\mathcal{N}_{75}	4.00	18.25	80.28	97.86	93.84	
90.16	\mathcal{N}_{100}	3.98	13.55	76.19	97.92	93.55	
91.89	$\mathcal{N}_{\text{opt,dim}}$	7.98	22.09	83.71	97.67	94.97	
92.25	$\mathcal{N}_{\text{opt},\lambda}$	9.03	24.13	84.69	97.18	95.87	<i>Precision (Correctness)</i>

3. Experimental Results (B)

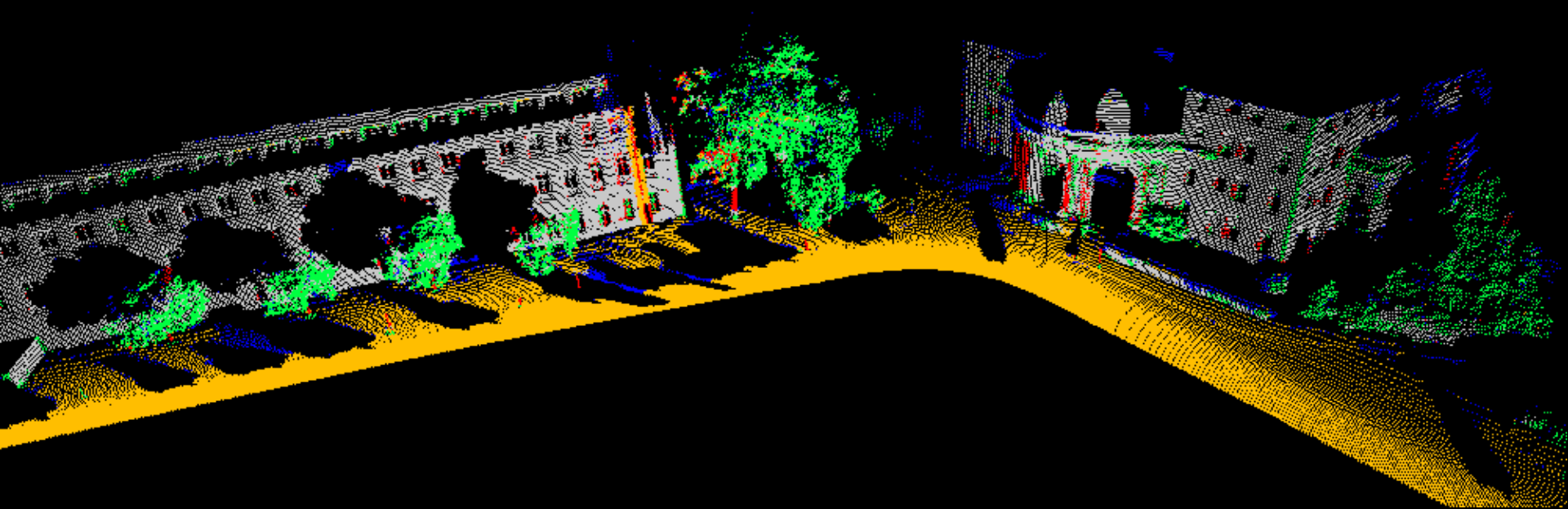
■ *Oakland 3D Point Cloud Dataset*, all 21 low-level features:

■ *Overall accuracy:*

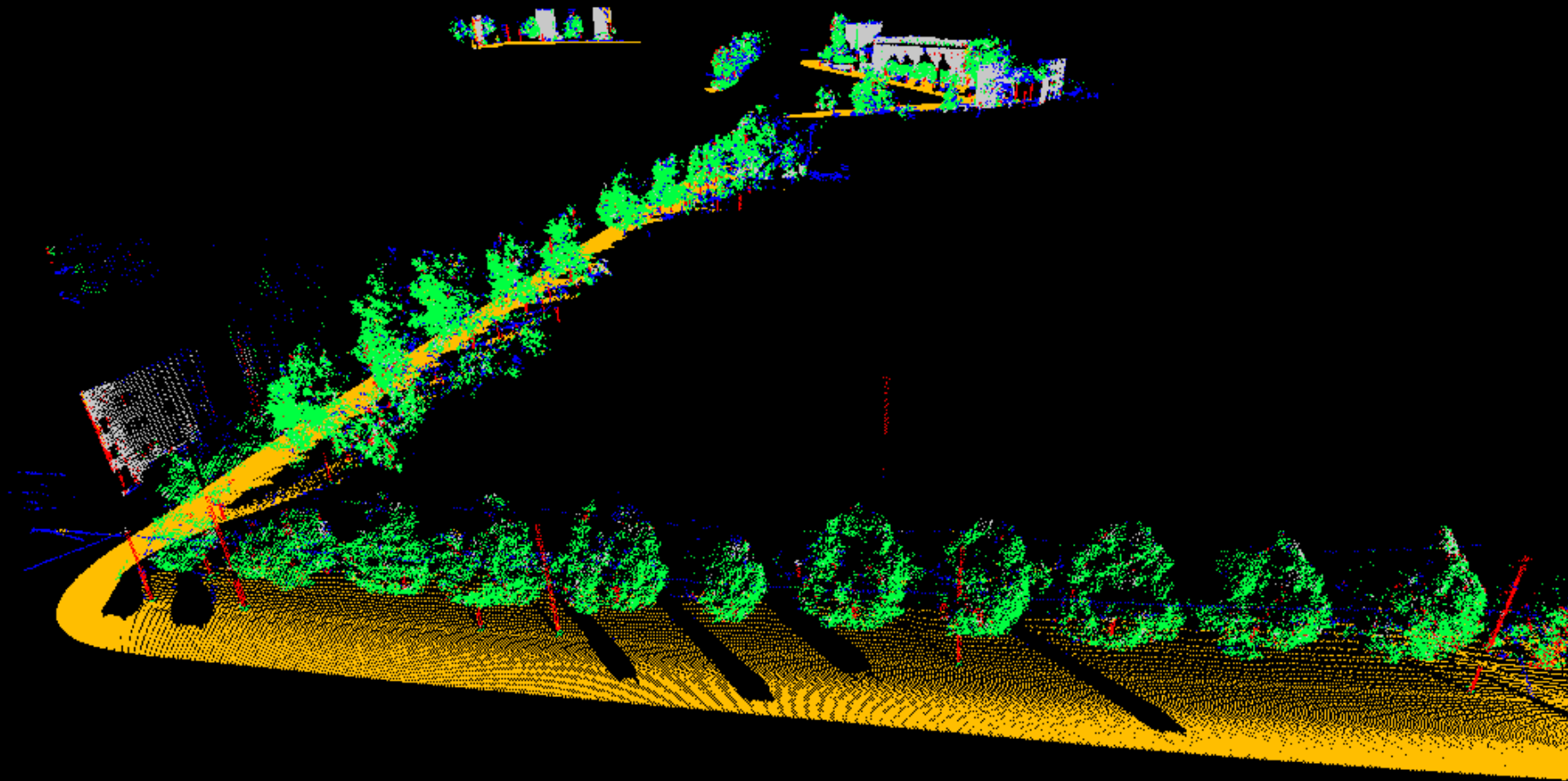
\mathcal{N}	NN	DT	NB	LDA	QDA	SVM	RF	RFe	AB	MLP
\mathcal{N}_{10}	73.86	65.64	78.88	87.38	78.93	82.93	87.53	81.94	86.78	80.54
\mathcal{N}_{25}	86.25	69.30	83.64	90.08	83.62	88.88	90.50	88.77	89.99	78.59
\mathcal{N}_{50}	88.89	75.47	85.03	92.83	84.95	92.00	91.54	90.42	91.80	85.68
\mathcal{N}_{75}	89.97	76.87	85.00	93.05	84.99	91.99	91.06	91.16	90.56	87.07
\mathcal{N}_{100}	89.90	84.45	84.33	92.60	84.43	91.76	90.16	90.59	87.01	84.39
$\mathcal{N}_{\text{opt,dim}}$	79.34	70.71	83.75	91.01	83.80	90.15	91.89	90.12	91.62	85.69
$\mathcal{N}_{\text{opt},\lambda}$	79.87	75.76	85.63	90.39	85.69	89.10	92.25	90.45	92.28	87.29

■ *Mean class recall:*

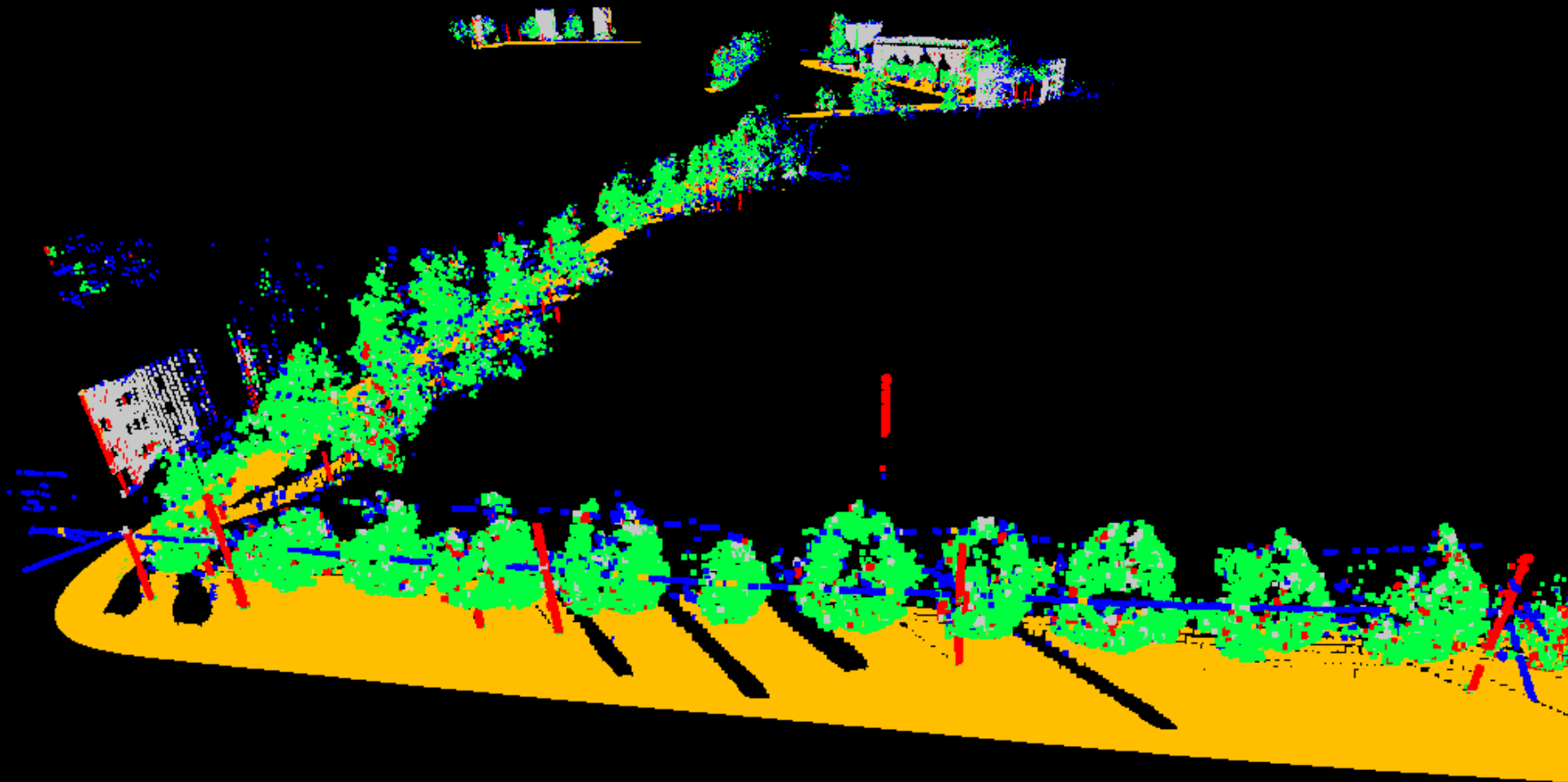
\mathcal{N}	NN	DT	NB	LDA	QDA	SVM	RF	RFe	AB	MLP
\mathcal{N}_{10}	63.40	54.19	62.29	70.68	62.33	58.86	70.78	63.75	67.52	64.20
\mathcal{N}_{25}	70.01	57.41	68.46	75.54	68.47	68.50	74.65	71.48	68.64	68.03
\mathcal{N}_{50}	69.47	59.99	67.12	72.76	66.98	68.47	72.72	69.22	71.46	69.13
\mathcal{N}_{75}	68.29	57.82	65.49	73.05	65.44	68.00	69.99	68.88	68.19	70.47
\mathcal{N}_{100}	66.66	57.96	63.44	72.35	63.46	64.76	68.51	67.16	59.58	68.98
$\mathcal{N}_{\text{opt,dim}}$	74.17	62.15	74.49	81.36	74.35	79.58	81.70	78.35	77.63	78.61
$\mathcal{N}_{\text{opt},\lambda}$	73.98	66.99	76.19	82.05	76.15	79.97	82.59	78.70	79.49	79.92



M. Weinmann, B. Jutzi, and C. Mallet (2014): Semantic 3D scene interpretation: a framework combining optimal neighborhood size selection with relevant features. ISPRS Annals of the Photogrammetry, Remote Sensing and Spatial Information Sciences, Vol. II-3, pp. 181-188.



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3. Experimental Results (B)

■ Processing time for training and testing:

Time	NN	DT	NB	LDA	QDA	SVM	RF	RFe	AB	MLP
t_{train} [s]	00.00	0.11	0.01	0.05	0.07	1.39	0.44	0.03	6.20	2.28
t_{train} [%]	00.00	24.54	3.13	10.74	15.18	317.19	100.00	6.96	1410.66	518.13
t_{test} [s]	167.52	0.65	3.71	4.45	3.92	319.48	6.33	8.12	76.31	1.80
t_{test} [%]	2645.77	10.22	58.64	70.34	61.96	5045.68	100.00	128.22	1205.24	28.45

Parameter-Tuning

■ Overall accuracy:

\mathcal{N}	NN	DT	NB	LDA	QDA	SVM	RF	RFe	AB	MLP
\mathcal{N}_{10}	73.86	65.64	78.88	87.38	78.93	82.93	87.53	81.94	86.78	80.54
\mathcal{N}_{25}	86.25	69.30	83.64	90.08	83.62	88.88	90.50	88.77	89.99	78.59
\mathcal{N}_{50}	88.89	75.47	85.03	92.83	84.95	92.00	91.54	90.42	91.80	85.68
\mathcal{N}_{75}	89.97	76.87	85.00	93.05	84.99	91.99	91.06	91.16	90.56	87.07
\mathcal{N}_{100}	89.90	84.45	84.33	92.60	84.43	91.76	90.16	90.59	87.01	84.39
$\mathcal{N}_{\text{opt,dim}}$	79.34	70.71	83.75	91.01	83.80	90.15	91.89	90.12	91.62	85.69
$\mathcal{N}_{\text{opt},\lambda}$	79.87	75.76	85.63	90.39	85.69	89.10	92.25	90.45	92.28	87.29

3. Experimental Results

- A. Insights w.r.t. the selection of optimal neighborhoods
- B. Impact of optimal neighborhood size selection
- C. Impact of the selection of relevant features**
- D. Extension towards data-intensive processing

M. Weinmann, B. Jutzi, S. Hinz, and C. Mallet (2015): Semantic point cloud interpretation based on optimal neighborhoods, relevant features and efficient classifiers. ISPRS Journal of Photogrammetry and Remote Sensing, Vol. 105, pp. 286-304.

M. Weinmann, B. Jutzi, and C. Mallet (2013): Feature relevance assessment for the semantic interpretation of 3D point cloud data. ISPRS Annals of the Photogrammetry, Remote Sensing and Spatial Information Sciences, Vol. II-5/W2, pp. 313-318.

3. Experimental Results (C)

■ *Oakland 3D Point Cloud Dataset*

- Feature extraction based on different neighborhood definitions

- Feature selection:

- All features (21)

- Dimensionality features (3)

- Eigenvalue-based 3D features (8)

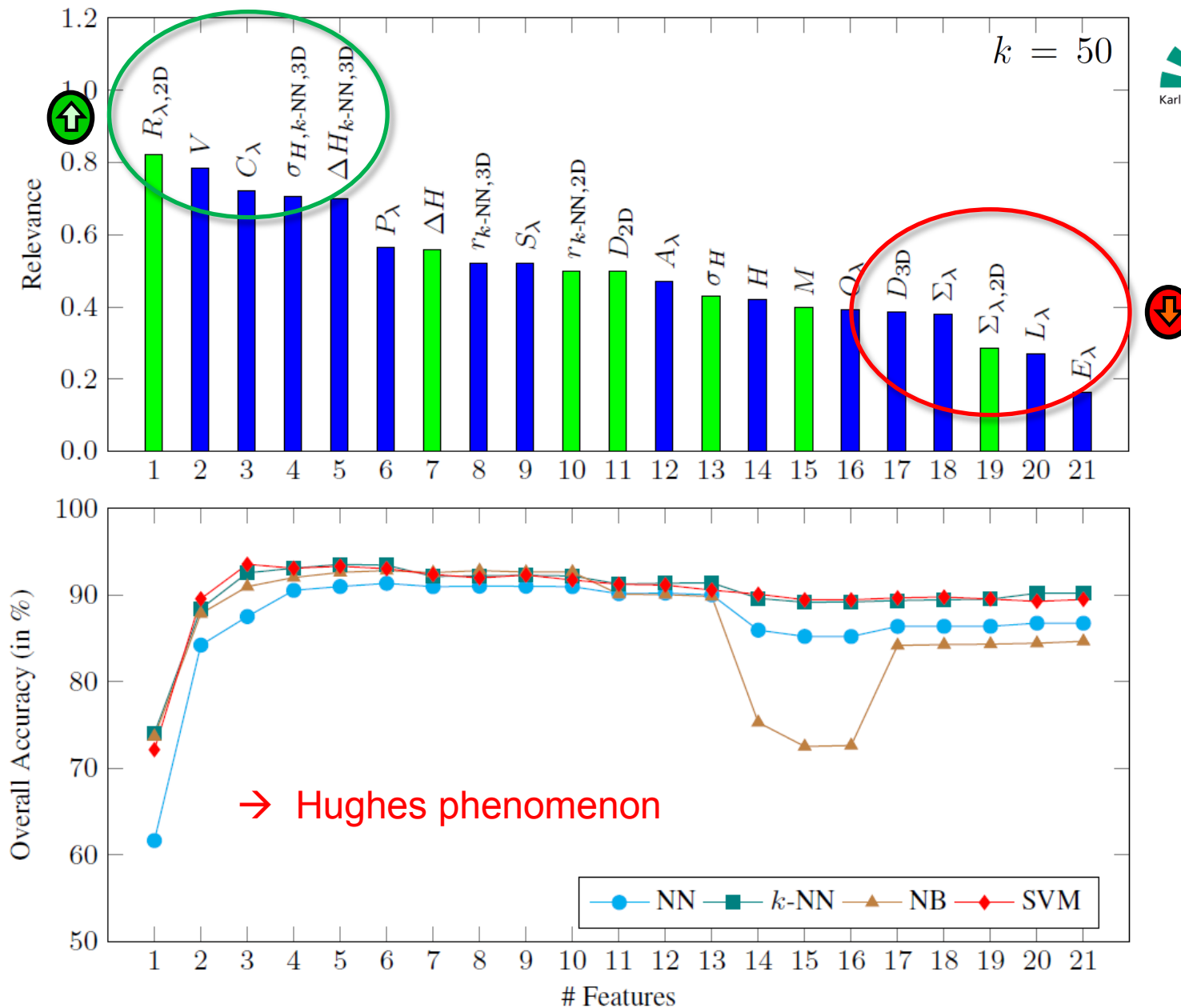
- 5 best-ranked features according to [Weinmann et al., 2013] (5)

- *Correlation-based Feature Selection* [Hall, 1999] (12-16)

- *Fast Correlation-Based Filter* [Yu & Liu, 2003] (6-9)

- *Minimal-Redundancy-Maximal-Relevance* [Peng et al., 2005] (10)

- Classification: *Random Forest* (100 decision trees)



3. Experimental Results (C)

Overall accuracy:

\mathcal{N}	\mathcal{S}_{all}	\mathcal{S}_{dim}	$\mathcal{S}_{\lambda,3D}$	\mathcal{S}_5	\mathcal{S}_{CFS}	$\mathcal{S}_{\text{FCBF}}$	$\mathcal{S}_{\text{mRMR}}$
\mathcal{N}_{10}	87.50	58.36	74.33	85.66	87.43	87.29	82.11
\mathcal{N}_{25}	90.78	68.80	82.48	89.60	90.59	91.78	84.70
\mathcal{N}_{50}	91.64	73.19	81.38	91.01	91.71	92.69	85.64
\mathcal{N}_{75}	91.00	73.63	80.12	90.24	91.17	91.47	85.99
\mathcal{N}_{100}	90.11	72.99	81.96	89.84	90.31	90.94	85.76
$\mathcal{N}_{\text{opt,dim}}$	91.92	69.59	77.69	91.41	91.83	91.55	86.82
$\mathcal{N}_{\text{opt},\lambda}$	92.28	63.61	84.88	91.44	92.27	92.78	84.28

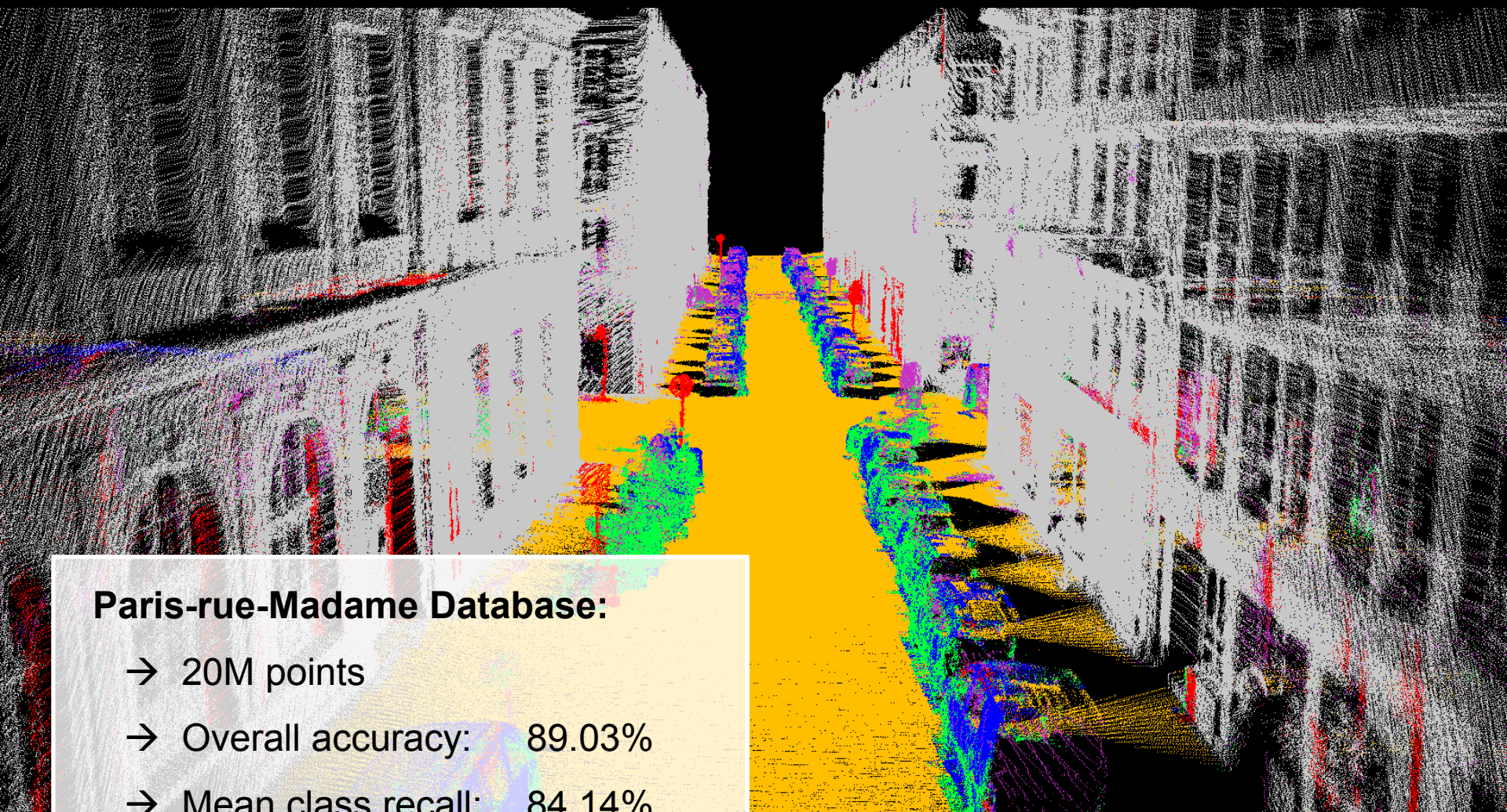
Mean class recall:

\mathcal{N}	\mathcal{S}_{all}	\mathcal{S}_{dim}	$\mathcal{S}_{\lambda,3D}$	\mathcal{S}_5	\mathcal{S}_{CFS}	$\mathcal{S}_{\text{FCBF}}$	$\mathcal{S}_{\text{mRMR}}$
\mathcal{N}_{10}	70.83	48.41	59.95	58.24	69.28	70.08	62.46
\mathcal{N}_{25}	75.48	55.68	65.22	73.30	74.24	76.58	63.61
\mathcal{N}_{50}	72.71	54.41	64.43	65.91	72.64	74.14	60.90
\mathcal{N}_{75}	69.75	52.12	61.37	59.86	70.19	68.66	58.35
\mathcal{N}_{100}	68.49	50.33	61.37	60.22	69.02	66.34	56.05
$\mathcal{N}_{\text{opt,dim}}$	81.79	61.53	67.65	75.57	81.33	80.83	74.72
$\mathcal{N}_{\text{opt},\lambda}$	82.60	59.48	69.17	78.50	82.39	82.93	69.37

3. Experimental Results

- A. Insights w.r.t. the selection of optimal neighborhoods
- B. Impact of optimal neighborhood size selection
- C. Impact of the selection of relevant features
- D. Extension towards data-intensive processing

M. Weinmann, S. Urban, S. Hinz, B. Jutzi, and C. Mallet (2015): Distinctive 2D and 3D features for automated large-scale scene analysis in urban areas. *Computers & Graphics*, Vol. 49, pp. 47-57.



Paris-rue-Madame Database:

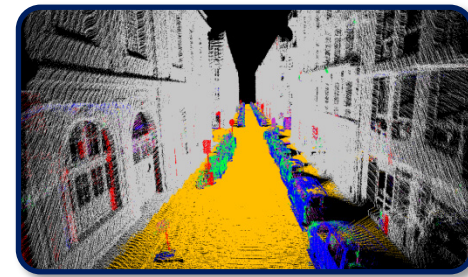
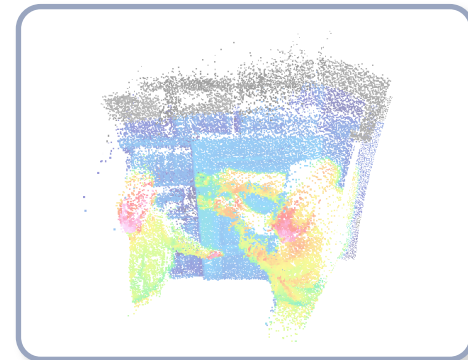
- 20M points
- Overall accuracy: 89.03%
- Mean class recall: 84.14%



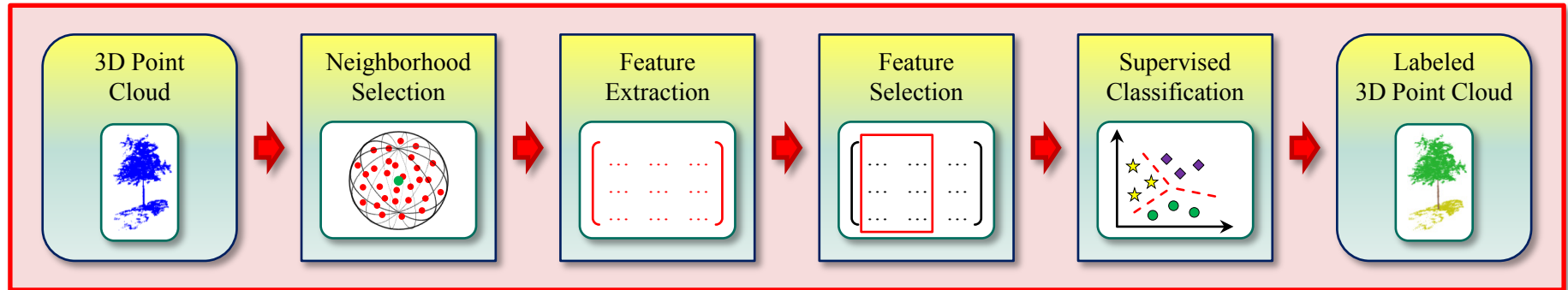
Paris-rue-Cassette Database:

- 12M points
- Overall accuracy: 89.52%
- Mean class recall: 81.46%

1. Introduction
2. Methodology
3. Experimental Results
4. Conclusions & Future Work



4. Conclusions & Future Work



- Semantic interpretation of 3D point cloud data
 - Selection of individual 3D neighborhoods of optimal size
 - Significant improvement of classification results
 - Selection of relevant features
 - Increase in efficiency w.r.t. processing time and memory consumption
- Extension towards large-scale 3D scene analysis
 - Parallelized data processing

4. Conclusions & Future Work

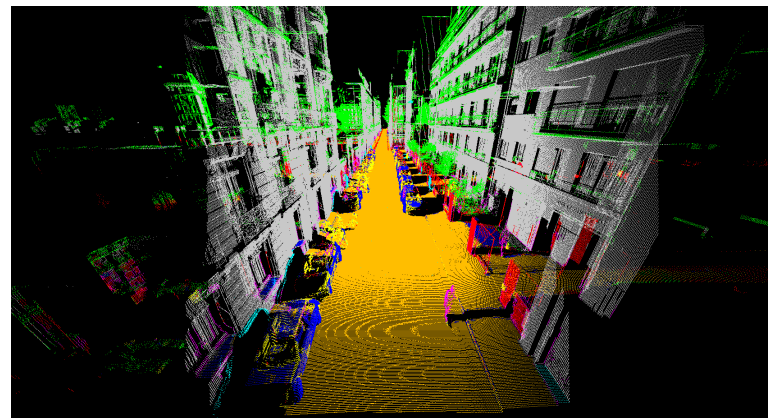
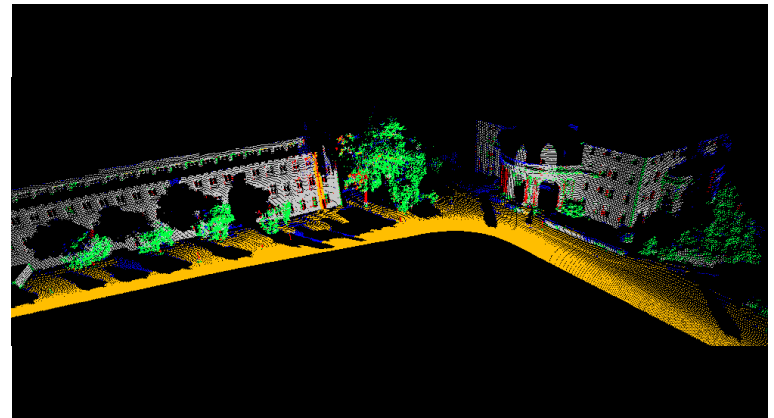
■ Outlook

■ Extended 3D scene analysis up to object level

- Segmentation / clustering
- Spatial context

■ Large-scale 3D scene analysis on point level and on object level

- >100M points
- Complex environments
- Many classes of interest
- Class hierarchies



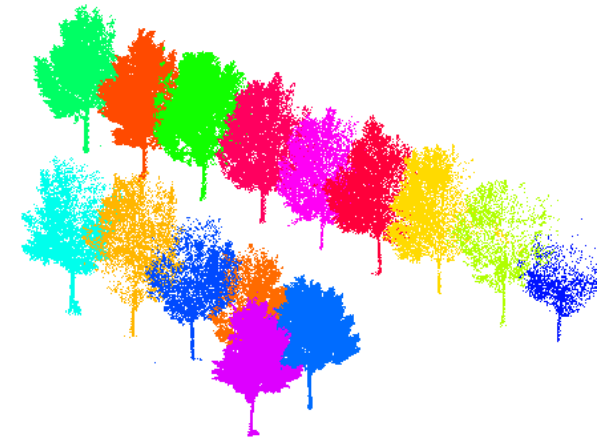
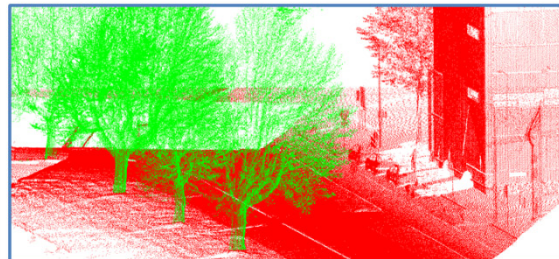
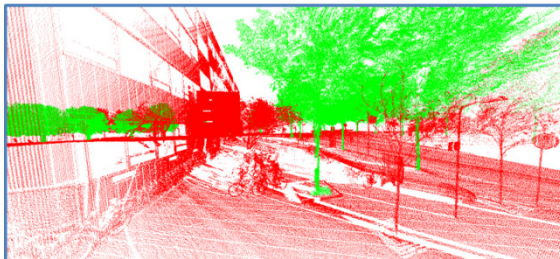
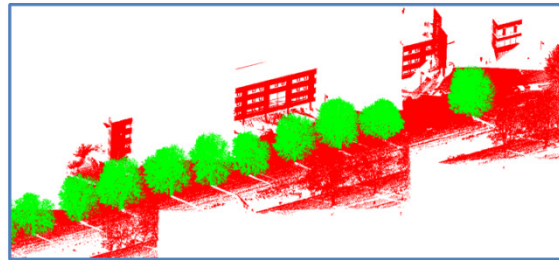
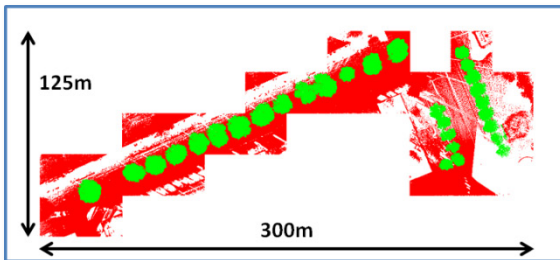
4. Conclusions & Future Work

■ Outlook

■ Tree detection, segmentation and localization (→ IQmulus)

→ Binary classification (“tree” vs. “non-tree”)

→ Tree individualization via segmentation



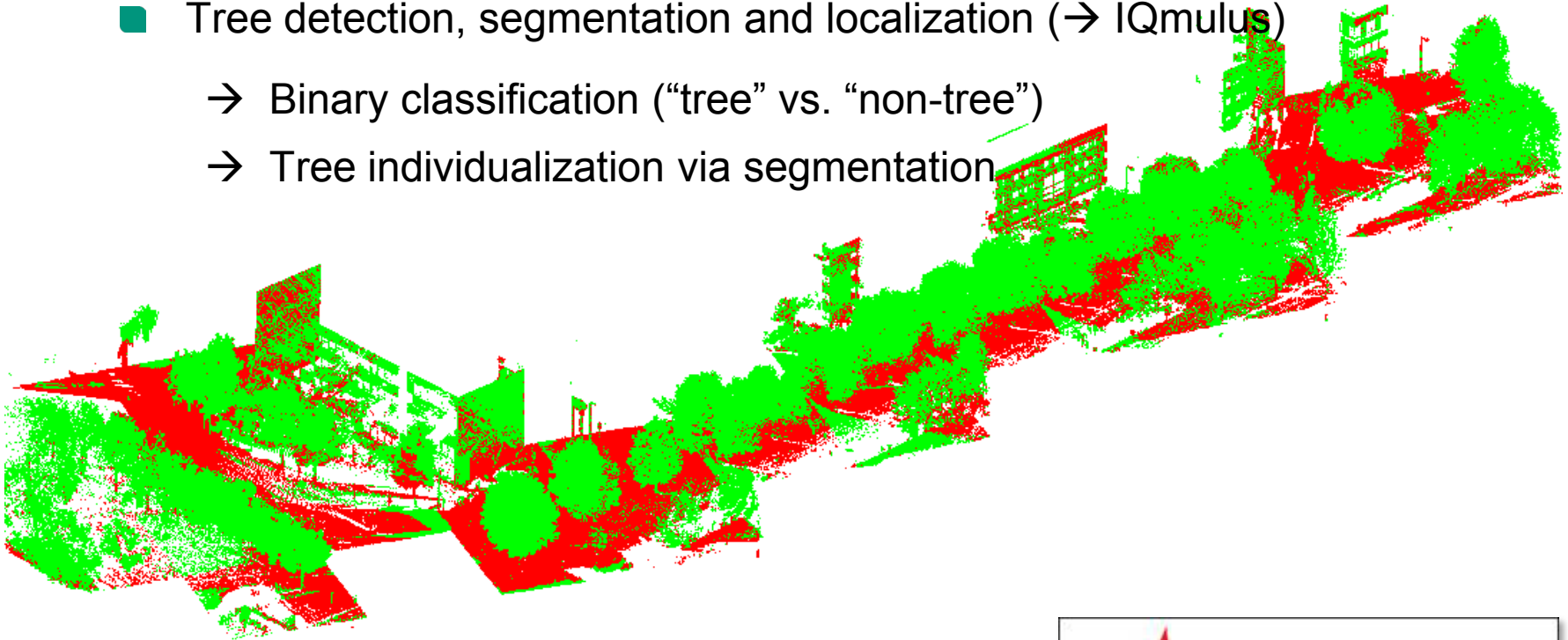
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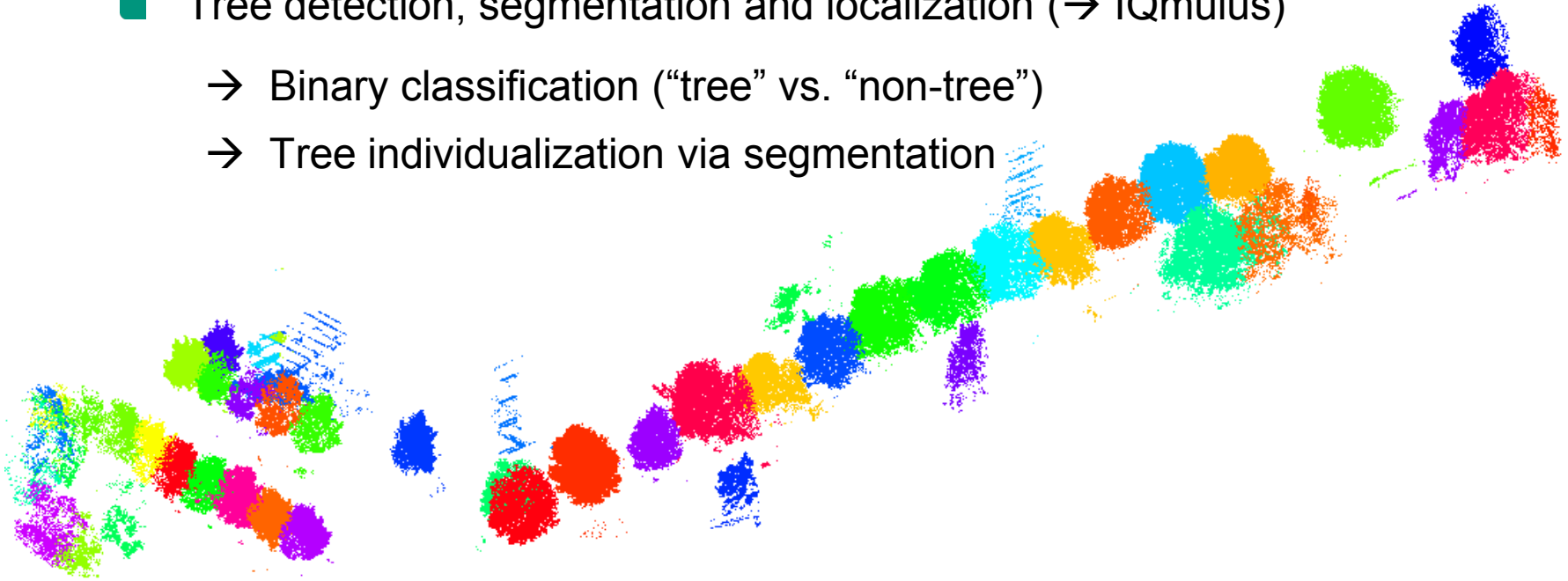
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An aerial, high-angle view of a city street, likely in New York City, showing a grid of buildings and a central thoroughfare. The image has a halftone or dithered texture. A large, light-colored oval with a dark green border is centered over the street. The text "Thank you for your attention !" is written in bold red font within this oval. The street below shows yellow lane markings, blue and red traffic signs, and some greenery on the right side.

**Thank you for your
attention !**